



REGISTRATION KIT



INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/ Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRIs, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Unique Identification Number (UID) (Aadhaar) / Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs. 50,000/- p.a.
5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the

intermediary.

List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.
- F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/ Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

INSTRUCTIONS/ CHECK LIST (for filling additional information related to trading account)

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
 - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification. Further, considering the infeasibility of carrying out 'in-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. For non-individuals:
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

Form No.:

INDEX

Client Code:

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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES/DP

S.No.	Name of the Document	Brief Significance of the Document	Page No.
1.	Account Opening Form	A. CKYC Form - Document captures the basic information about the constituent and an instruction / check list - individual / non-individual	2-5
		B. Document captures the additional information about the constituent relevant to trading account and an instruction / check list.	6-8
2.	Additional Details for Demat A/c	Additional KYC details required for opening of a demat account.	9-12
3	Policies and Procedures	Document describing significant policies and procedure of the stock broker.	13-17
4.	Tariff Sheet	Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	18
5.	Schedule of Demat Charges	Document detailing the rate/amount of depository charges levied on the client.	19
6.	FATCA	FATCA & CRS Declaration-Individual	38
		FATCA & CRS Declaration- Non Individual	39-40

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

S.No.	Name of the Document	Brief Significance of the Document	Page No.
1.	BSDA FACILITY	Declaration for Availing of BSDA	20
2.	Power of Attorney	Limited Power of Attorney given by the client in favour of stock broker for transfer of shares for the purpose of payin / margin obligations of the client.	21-22
3.	Letter of Authority and Standing Instructions	Letter of Authority & Other instructions given by the client to the stock broker for smooth operation of the trading account.	23-26
4.	Mutual Fund Facility	MFSS Facility/BSE star facility and other MF	27-28
5.	SMS Alerts from CDSL	Terms & Condition-cum-Registration	29
6a.	Declarations by HUF	Declarations & Disclosures	30
6b.	Declaration from Sole Prop.	Declaration for using the Demat account of Proprietor	
6c.	Sample Board Resolution	Sample of Board Resolution from Corporates / Trusts	31
7.	Internet Trading Letter	Internet Trading Letter	32-37

Name of stock broker/trading member/clearing member: **ACEMONEY INTERMEDIARIES PVT. LTD.**

Corporate Identification No. (CIN) :

U74899DL1985PTC022592

SEBI Registration No.:

INZ000104331, IN-DP-322-2017

Membership Code :

NSE-07923 BSE-6145 MSEI-35500 CDSL-12033400

Registered and Correspondence Office Address :

UG-1, Lusa Tower, Azadpur commercial Centre, Azadpur, Delhi-110033**Ph: 011-42700800, E-mail : info@acemoneyindia.com****Website : www.acemoneyindia.com**

Compliance officer name, phone no. & email id:

██████████ 011-42700811, compliance@acemoneyindia.com

CEO name, phone no. & email id:

Mr. Ravee Rraj Jain, 011-42700800, rr@acemoneyindia.com
██████████ ██████████**Clearing Member Details of FO & CD segment : Globe Capital Markets Ltd. SEBI Registration No. INF230663732 & INE260663732**

In case of any grievance / complaint against the company, please write to us at ; investorgrievance@acemoneyindia.com or contact our Compliance Officer Mr. Ravee Rraj Jain at email-id: compliance@acemoneyindia.com and Phone No. 011-42700811. You may also approach CEO-Mr. Ravee Rraj Jain, at email-id : rr@acemoneyindia.com and Phone No. 01142700800, CEO Mumbai-Mr. Sunil Raheja, at email-id sunil.r@acemoneyindia.com and Phone No. 022-62960000. If not satisfied with the response of the Stock Broker/Depository Participant, you may contact the concerned Stock Exchange/Depository at the following.

Exchanges	Web Address	Contact No.	E-mail Id
NSE	www.nseindia.com	1800220058	ignse@nse.co.in
BSE	www.bseindia.com	022-22728097	is@bseindia.com
MSEI	www.msei.in	022-61129000	secretarial@msei.in
CDSL	www.cdslindia.com	18002005533	complaints@cdslindia.com

If you are still not satisfied, you may also lodge your grievances with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.

DISCLOSURE OF PROPRIETARY TRADING

As per National Stock Exchange of India Ltd. (NSE) directives and in pursuance of SEBI Circular No. SEBI/MRD/Cir-42/2003 dated November 19, 2003, we wish to inform you that we as a company do engage in proprietary business in the Cash / F&O / Currency Derivatives Segments of NSE. Kindly take note of the above and oblige.

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*
 Line 2
 Line 3 City / Town / Village*
 District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*
 Line 2
 Line 3 City / Town / Village*
 State* ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -
 FAX - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date --
 B- Voter ID Card
 C- PAN Card
 D- Driving Licence Driving Licence Expiry Date --
 E- UID (Aadhaar)
 F- NREGA Job Card
 Z- Others (any document notified by the central government) Identification Number
 S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : -- Place :


 [Signature / Thumb Impression]
 Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY

IPV Date Place
 Emp. Name
 Emp. Code
 Emp. Designation
 Emp. Branch

INSTITUTION DETAILS

Name **ACEMONEY INTERMEDIARIES PVT. LTD.**
 Code

[Employee Signature]

[Institution Stamp]



ACEMONEY INTERMEDIARIES PVT. LTD.

CIN : U74899DL1985PTC022592

UG-1, Lusa Tower, Azadpur commercial Centre, Azadpur, Delhi-110033

Ph: 011-42700800, E-mail : info@acemoneyindia.com

Acknowledgement No.

KNOW YOUR CLIENT (KYC) Application Form - For Non-Individual

MANDATORY

Please fill this form in ENGLISH and in BLOCK LETTERS

(Please tick ✓ the box on left margin of appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding row)

A	IDENTITY DETAILS	PHOTOGRAPH
<input type="checkbox"/>	Name of the Applicant	<p>Please affix the recent passport size photograph of Authorized Signatory with signature across it.</p>
<input type="checkbox"/>	Date of Incorporation	
<input type="checkbox"/>	Date of commencement of business	
<input type="checkbox"/>	Permanent Account Number (PAN)	
<input type="checkbox"/>	Registration No. (e.g. CIN)	
<input type="checkbox"/>	Status (Please tick any one)	
	<input type="checkbox"/> Private Limited Co. <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Body Corporate <input type="checkbox"/> Partnership <input type="checkbox"/> Trust <input type="checkbox"/> Charities <input type="checkbox"/> NGO's <input type="checkbox"/> FI <input type="checkbox"/> FII <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> Bank <input type="checkbox"/> Government Body <input type="checkbox"/> Non-Government Organization <input type="checkbox"/> Defense Establishment <input type="checkbox"/> BOI <input type="checkbox"/> Society <input type="checkbox"/> LLP <input type="checkbox"/> Others (Please specify)	

B	ADDRESS DETAILS
<input type="checkbox"/>	Correspondence Address
	City / Town / Village _____ Pin Code _____ State _____ Country _____
<input type="checkbox"/>	Contact Details
	Tel. (Off.) _____ Fax _____ Tel. (Res.) _____ Mobile No _____ E-Mail Id. _____
<input type="checkbox"/>	Specify the Proof of Address submitted for Correspondence Address:
<input type="checkbox"/>	Registered Address (If different from above)
	City / Town / Village _____ Pin Code _____ State _____ Country _____

C	OTHER DETAILS
<input type="checkbox"/>	Name, PAN, Residential Address and photographs of Promoters/Partners/Karta/Trustees and whole time directors : _____ If space is insufficient, enclosed these details separately (illustrative format enclosed)
<input type="checkbox"/>	DIN OF Whole time directors : _____ If space is insufficient, enclosed these details separately (illustrative format enclosed)
<input type="checkbox"/>	AADHAR No. OF Promoters/Partners/Karta : _____ If space is insufficient, enclosed these details separately (illustrative format enclosed)

D	DECLARATION
	I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/we may be held liable for it.
	Date _____
	_____ Name & Signature of the Director/Authorised Signatory(ies)

FOR OFFICE USE ONLY
<input type="checkbox"/> Originals Verified & Self Attested Document copies received
Name & Signature of the Authorised Signatory
Date _____ Place : _____
Seal/Stamp of the Intermediary

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant _____

Sr. No.	PAN	Name	DIN + Aadhaar Number	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph

Date | d | d | / | m | m | / | y | y | y | y |



Name & Signature of the Authorised Signatory(ies)



ADDITIONAL DETAILS (Part B of KYC Form)**MANDATORY****A. BANK ACCOUNT(S) DETAILS**

Bank Name (1) _____

Branch Address _____

City/Town/Village _____ PIN Code _____

State _____ Country _____

Bank Account No. _____

Account Type Saving Current Others - In case of NRI NRE NRO

MICR Number _____ IFSC Code _____

B. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name (1) _____

Depository Name NSDL DP ID _____ BO ID _____

CDSL DP ID _____ BO ID _____

Beneficiary Name _____

C. TRADING PREFERENCES

* Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

EXCHANGE	SEGMENTS				
NSE	<input type="checkbox"/> Sign if applicable	<input type="checkbox"/> Sign if applicable	<input type="checkbox"/> Sign if applicable	Sign if applicable	Sign if applicable
	Cash	F&O	Currency	Name of other Segments, if any	Name of other Segments, if any
BSE	<input type="checkbox"/> Sign if applicable	<input type="checkbox"/> Sign if applicable	<input type="checkbox"/> Sign if applicable	Sign if applicable	Sign if applicable
	Cash	F&O		Name of other Segments, if any	Name of other Segments, if any
MSEI	<input type="checkbox"/> Sign if applicable	<input type="checkbox"/> Sign if applicable	<input type="checkbox"/> Sign if applicable	Sign if applicable	Sign if applicable
	Cash	F&O	Currency	Name of other Segments, if any	Name of other Segments, if any

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker. ** Currency derivative segment include interest rate future.

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years :

1. _____

2. _____

3. _____

E. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

◆ If Client is dealing through the sub-broker, provide the following details:

Sub-broker's Name _____ SEBI Regn. No. _____

Registered Office Address _____

City/Town/Village _____ PIN Code _____

State _____ Country _____

Phone _____ Fax _____ Website _____

◆ Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker _____

Name of Sub-broker (if any) _____

Registered Office Address _____

City/Town/Village _____ PIN Code _____

State _____ Country _____

Client Code _____ Exchange _____

Details of disputes/dues pending from/to such stock broker/sub-broker _____

ADDITIONAL DETAILS (Part B of KYC Form)**MANDATORY****F. ADDITIONAL DETAILS**

- ◆ Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) 
- Physical Contract Note Electronic Contract Note

Specify your Email id, if applicable

- ◆ Whether you wish to avail the facility of internet trading/wireless technology (please specify) Yes No
- ◆ Number of years of Investment/Trading Experience
- ◆ In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of Company Firm Others
- ◆ Any other information

G. OTHER DETAILS

- ◆ **Gross Annual Income Details** (Please tick): Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac >25 Lacs

Net-Worth in Rs. Net worth should not be older than 1 year as on (date) / /

- ◆ **Occupation** (Please tick any one and give brief details):
- Private Sector Service Public Sector Government Service Business Professional Agriculturist
- Retired Housewife Student Forex Dealer Others (Please specify).....

- ◆ **Please tick, if applicable:** PEP - Politically Exposed Person / RPEP - Relative of Politically Exposed Person

Name	PEP	RPEP	NO	Name	PEP	RPEP	NO
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- ◆ Any other information

H. INTRODUCER DETAILS (optional)

Name of the Introducer

Status of the Introducer Sub-broker Remisier Authorized Person Existing Client Others, please specify

Address of Introducer

City/Town/Village PIN Code

State Country

Phone No.

Signature of the Introducer

ACKNOWLEDGEMENT

I/We acknowledge receipt of the documents mentioned overleaf under INDEX. I/We have read the contents of these and agree to abide by them. I/We further understand that the copies of rest of the documents mentioned in Index shall be provided to me/us after Verification/ Acceptance / Execution by the broker and Depository Participant.

4 

Sole/First Holder Signature



Second Holder Signature



Third Holder Signature

ADDITIONAL DETAILS (Part B of KYC Form)**MANDATORY****DECLARATION**

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.



1st Signatory / Individual

2nd Signatory

3rd Signatory

Place Date **FATCA & CRS****DECLARATION - INDIVIDUAL / NON-INDIVIDUAL**

i have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instruction) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Acemoney Intermediaries Pvt. Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEDI).

Sign. here :

Place Date **FOR OFFICE USE ONLY**UCC Code allotted to the Client

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee	<input type="text"/>	<input type="text"/>	<input type="text"/>
Employee Code	<input type="text"/>	<input type="text"/>	<input type="text"/>
Designation of the Employee	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
Signature	<input type="text"/>	<input type="text"/>	<input type="text"/>

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For Acemoney Intermediaries Pvt. Ltd.

Signature of the Authorised Signatory

Date **Seal/Stamp of the stock broker**

ADDITIONAL DETAILS FOR OPENING DEMAT ACCOUNT (For Individual/HUF/Non-Individual)



ACEMONEY INTERMEDIARIES PVT. LTD.

CIN : U74899DL1985PTC022592

UG-1, Lusa Tower, Azadpur commercial Centre, Azadpur, Delhi-110033

Ph: 011-42700800, E-mail : info@acemoneyindia.com

(To be filled by the Depository Participant)

Client ID																		Date	D	D	M	M	Y	Y	Y	Y
-----------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	------	---	---	---	---	---	---	---	---

I/We request you to open a depository account in my/our name as per following details :

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

A. DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole/First Holder		Second Holder		Third Holder	
Name						
PAN						
Occupation (Please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify)
Brief Details						

B. For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :

Name		PAN									
------	--	-----	--	--	--	--	--	--	--	--	--

C. TYPE OF ACCOUNT (Please tick whichever is Applicable)

Status	Sub-Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Individual Margin A/c	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Others (Specify).....
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Minor <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify).....
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (Specify).....
<input type="checkbox"/> Body Corporate <input type="checkbox"/> CM	<input type="checkbox"/> Bank <input type="checkbox"/> Clearing House	<input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> FI <input type="checkbox"/> Others (Specify).....
		Sub-Status (To be filled by DP)

D. GROSS ANNUAL INCOME DETAILS (please specify)

Income Range per annum (please tick any one)		Networth								
<input type="checkbox"/> Below Rs. 1 Lac <input type="checkbox"/> Rs. 1 - 5 Lac <input type="checkbox"/> Rs. 5 Lac - 10 Lac <input type="checkbox"/> Rs. 10 Lac - 25 Lac	<input type="checkbox"/> Rs. 25 - 50 Lac <input type="checkbox"/> Rs. 50 - 1 Crore <input type="checkbox"/> Above Rs. 1 Crore	Amount Rs. _____ As on (Date) <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> (Networth should not be older than 1 year)	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			

E. In Case of NRIs/Foreign Nationals/FIIs/Others (as may be applicable)

RBI Approval Reference Number		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
SEBI Registration Number (For FIIs)										

ADDITIONAL DETAILS FOR OPENING DEMAT ACCOUNT

MANDATORY

K. NOMINATION OPTION

I/We wish to make a nomination. [As per details given below]

NOMINEE REGISTRATION NO.

I/We do not wish to make a nomination. [Strike off the nomination details below]

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me/us in the said beneficiary owner account in the event of my / our death.

Nomination can be made upto three nominees in the account		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1.	Name of the nominee(s) Mr./Ms.)			
2.	Share of each Nominee	Equally [If not equally, please specify percentage]	%	%
Any odd lot after division shall be transferred to the first nominee mentioned in the form.				
3.	Relationship with the Applicant (if any)			
4.	Address of Nominee(s)			
	PIN Code			
5.	Mobile/Telephone No. of Nominee(s)			
6.	Email ID of nominee(s)			
7.	Nominee Identification details - [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> AADHAAR <input type="checkbox"/> Saving Bank A/c No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			

Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :

8.	Date of Birth {in case of minor nominee(s)}			
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
10.	Address of Guardian(s)			
	PIN Code			

ADDITIONAL DETAILS FOR OPENING DEMAT ACCOUNT

MANDATORY

11.	Mobile/Telephone No. of Guardian			
12.	Email ID of nominee(s)			
13.	Relationship of Guardian with nominee			
14.	Guardian Identification details - [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> AADHAAR <input type="checkbox"/> Saving Bank A/c No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			

Signature of Witness for Nomination

Name of the Witness	Address of Witness	Signature of Witness
1.		
2.		



Sole/First Holder Signature

Second Holder Signature

Third Holder Signature

Date :

OPTION FOR ISSUANCE OF DIS BOOKLET ALONGWITH ACCOUNT OPENING (FOR POA CLIENTS)**ACEMONEY INTERMEDIARIES PVT. LTD.**

Registered Office : UG-1, Lusa Tower, Azadpur commercial Centre, Azadpur, Delhi-110033

DP ID	1	2	0	3	3	4	0	0
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Client Id:**Option for Issue of DIS booklet (Please tick any one)****Option1** I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.**Option2** I/We do not wish to receive the DIS booklet with account opening. However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.

Beneficial Owner	Name(s) of account holder(s)	Signature with date
Sole/First Holder		
Second Holder		
Third Holder		

1. Refusal of orders for penny stocks,

- a. The broker may from time to time limit (quantity/ value) / refuse orders in one or more securities due to various reasons including market liquidity, value of security (ies), the order being for securities which are not in the permitted list of the broker / exchange(s) / regulator. Provided further that broker may require compulsory settlement / advance payment of expected settlement value/delivery of securities for settlement prior to acceptance / placement of order(s) as well. The client is aware that such refusal or delay caused by limit imposed may result into losses.
- b. The broker may require reconfirmation of orders, which are larger than that specified by the broker's risk management, and broker would have full discretion to reject the execution of such orders based on its risk perception.

2. Setting up client's exposure limits and conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client.

- a. The broker may from time to time impose and vary limits on the orders that the client can place through the broker's trading system (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of which orders can be placed etc.). The client is aware and agrees that the broker may need to vary or reduce the limits or impose new limits urgently on the basis of the broker's risk perception and other factors considered relevant by the broker including but not limited to limits on account of exchange/ regulatory directions/ limits (such as broker level/market level limits in security specific / volume specific exposures etc.), and the broker may be unable to inform the client of such variation, reduction or imposition in advance. The client understands that under those circumstances the orders of the client have to be in accordance with revised parameters, which may even result into loss to the client.
- b. The client is not entitled to trade without adequate margin / security and that it shall be his / her / its responsibility to ascertain beforehand the margin / security requirements for his / her /its orders / trades /deals and to ensure that the required margin / security is made available to the broker in such form and manner as may be required by the broker. If the client's order is executed despite a shortfall in the available margin, the client, shall, whether or not the broker intimates such short fall in the margin to the client, make up the shortfall suo moto immediately.

The client further agrees that he /she /it shall be responsible for all orders (including any orders that may be executed without the required margin in the client's account) &/ or any claim / loss/ damage arising out of the non-availability /shortage of margin /security required by the broker & / or exchange &/or regulator.

- c. The broker is entitled to vary the form (i.e., the replacement of

the margin / security in one form with the margin / security in any other form, say, in the form of money instead of shares) &/or quantum &/or percentage of the margin & / or security required to be deposited / made available, from time to time. The margin /security deposited by the client with the broker are not eligible for any interest unless specifically agreed to.

- d. The client is aware that in the event of client dealing on multiple exchanges / multiple segments of the exchange, the broker may in order to meet client's margin / pay out obligation arising across segments / exchanges include /appropriate any /all pay out of funds & / or securities towards margin /security. The broker may transfer funds &/ or securities from his account for one exchange & / or one segment of the exchange to his / her / its account for another exchange & / or another segment of the same exchange whenever applicable and found necessary. The broker may treat / adjust his / her/its margin / security lying in one exchange & / or one segment of the exchange / towards the margin / security / pay in requirements of another exchange & / or another segment of the exchange.
- e. The broker is entitled to disable / freeze the account & / or trading facility / any other service facility, if, the broker has reasons to believe that the client has committed a crime/ fraud or has acted in contradiction of this agreement or / islikely to evade / violate any laws, rules, regulations, directions of a lawful authority whether Indian or foreign or if the broker so apprehends.

3. Applicable brokerage rate

- a. The broker is entitled to charge brokerage within the limits imposed by exchange which at present is as under:
 - i. The maximum brokerage chargeable in relation to trades effected in the securities admitted to dealings on the Capital Market/ Futures/Currency and Interest rate futures segment of the Exchange shall be 2.5 % of the contract price exclusive of statutory levies. It is hereby further clarified that where the sale / purchase value of a share is Rs.10/- or less in capital market segment, a maximum brokerage of 25 paise per share may be collected.
 - ii. Brokerage for option contracts shall be charged on the premium amount at which the option contract was bought or sold and not on the strike price of the option contract. It is hereby further clarified that brokerage on options contracts shall not exceed 2.5% of the premium amount or Rs.100/- (per lot) whichever is higher.

4. Imposition of penalty/delayed payment charges by either party, specifying the rate and the period.

- a. Client should ensure full payment of all margins including those on derivatives transactions and should also not keep account in debit, in the event any amounts are overdue from the client towards trading or margin or on account of any other reason the broker will charge 21% per annum to client

Continued on next page

- as delayed payment charges. As the cost of funds to the broker varies depending on economic conditions the rates shall be determined from time to time and will be communicated through email, SMS, web-site (CRN facility), periodic a/c and other statements etc.
- b. The client should not indulge in trading activity which is against law, rules and regulations. Clients trading activity should not be aimed at disturbing market equilibrium or manipulating market prices etc. If the client does so, the broker may keep in abeyance the payout funds or securities till such time that the client has been able to clearly demonstrate that his actions were not malafide in any manner.
 - c. The broker may impose fines / penalties for any orders / trades / deals / actions of the client which are contrary to this agreement / rules / regulations / bye laws of the exchange or any other law for the time being in force. Further where the broker has to pay any fine or bear any punishment from any authority in connection with / as a consequence of / in relation to any of the orders / trades / deals / actions of the client, the same shall be borne by the client. The client agrees to pay to the broker brokerage, commission, fees, all taxes, duties, levies imposed by any authority including but not limited to the exchanges (including any amount due on account of reassessment / backlogs etc.), transaction expenses, stamp duty, STT and service tax, incidental expenses such as postage, courier etc. as they apply from time to time to the client's account / transactions / services that the client avails from the broker.
- 5. The right to sell client's securities or close client's positions, without giving notice to the client, on account of non-payment of client's dues (This shall be limited to the extent of settlement/margin obligation).**
- a. The broker maintains centralized banking and securities handling processes and related banking and depository accounts at designated place. The client shall ensure timely availability of funds/securities in designated form and manner at designated time and in designated bank and depository account(s) at designated place, for meeting his/her/its pay in obligation of funds and securities. The Client is aware that non-availability / short availability of funds / securities by the client in the designated account(s) of the broker for meeting payin obligation of either funds or securities may result into loss to the client. Further if the client gives orders / trades in the anticipation of the required securities being available subsequently for pay in through anticipated pay out from the exchange or through borrowings or any off market delivery(s) or market delivery(s) and if such anticipated availability does not materialize in actual availability of securities / funds for pay in for any reason whatsoever including but not limited to any delays / shortages at the exchange or broker level etc the same may also result into losses.
 - b. The client understands that the benefit of any bank instrument deposited by the client towards his margin /security / payin obligation may be given on realization of the same. The broker will try to deposit the instrument for clearing in its bank account on best effort basis.
 - c. Where the margin /security is made available by way of securities or any other permissible property, the broker may decline its acceptance as margin / security & / or to accept it at such reduced value as the broker may deem fit by applying haircuts or by valuing it by marking it to market or by any other suitable method . The broker may cancel pending orders and sell/close/liquidate all open positions/ securities/ shares at the pre-defined square off time or when Mark to Market (M-T-M) percentage reaches or crosses stipulated margin percentage mentioned on the website, whichever is earlier. The broker may prescribe stipulated margin percentage depending upon the market condition. The client is aware that such sale/ close out / square off may result into losses.
 - d. In case open position (i.e. short/long) gets converted into delivery due to non-square off because of any reason whatsoever, the client will provide securities/funds to fulfill the pay-in obligation failing which the client will have to face auctions or internal close outs; in addition to this the client will have to pay penalties and charges levied by exchange in actual and losses, if any.
 - e. The broker is entitled to prescribe the date and time by which the margin / security is to be made available and the broker may not be able to give benefit of the same towards margin / security after such deadline for margin/security expires. Notwithstanding anything to the contrary in the agreement or elsewhere, if the client fails to maintain or provide the required margin/fund/ security or to meet the funds/margins/ securities pay in obligations for the orders / trades / deals of the client within the prescribed time and form, the broker shall have the right without any further notice or communication to the client to take any one or more of the following steps:
 - i. To with hold any payout of funds/ securities.
 - ii. To with hold /disable the trading / dealing facility to the client.
 - iii. To liquidate one or more security(s) of the client by selling the same at market rates. It is agreed and understood by the client that securities here includes securities which are pending delivery/receipt.
 - iv. To liquidate / square off partially or fully the position of sale & / or purchase in any one or more securities / contracts in such manner and at market rate.
 - v. To take any other steps which in the given circumstances, the broker may deem fit. The client agrees that the loss(es) if any, on account of any one or more steps as enumerated herein above being taken by the broker, shall be borne exclusively by the client alone and agrees not to question the reasonableness, requirements, timing, manner, form, pricing etc., which are chosen by the broker.
- 6. Shortages in obligations arising out of internal netting of trades :**
- a. The broker shall not be obliged to deliver any securities or

Continued on next page

pay any money to the client unless and until the same has been received by the broker from the exchange, the clearing corporation/ clearing house or other company or entity liable to make the payment and the client has fulfilled his / her/ its obligations first. The policy and procedure for settlement of shortages in obligations arising out of internal netting of trades is as under:

- b. The short delivering client is debited by an amount equivalent to 20% above of closing rate of day prior to Pay-in/Payout Day. The securities delivered short are purchased from market on T+2 day which is the Auction Day on Exchange, and the purchase consideration (inclusive of all statutory taxes & levies) is debited to the short delivering seller client along with reversal entry of provisionally amount debited earlier.
- c. If securities cannot be purchased from market due to any force majeure condition, the short delivering seller is debited at the closing rate on T+2 day or Auction day on Exchange +10%. Where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/credits shall be as per Exchange Debits and Credits.
- d. In cases of securities having corporate actions all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure /record date, would be compulsorily closed out at higher of 10% above the official closing price on the auction day or the highest traded price from first trading day of the settlement till the auction day.

7. Temporarily suspending or closing a client's account at the client's request.

The client may request the broker to temporarily suspend his account, broker may do so subject to client accepting / adhering to conditions imposed by broker including but not limited to settlement of account and/ or other obligation. The broker can withhold the payouts of client and suspend his trading account due to his surveillance action or judicial or / and regulatory order/action requiring client suspension.

8. Deregistering a client.

- a. The broker shall be entitled to suspend the account of client with immediate effect & if need be deregister the client in any of the following circumstances:
 - i. If the actions of the client are prima facie illegal / improper or such as to manipulate the price of any securities or disturb the normal /proper functioning of the market, either alone or in conjunction with others.
 - ii. If there is any commencement of a legal process against the client under any law in force;
 - iii. On the death/lunacy or other disability of the client;
 - iv. If a receiver, administrator or liquidator has been appointed or allowed to be appointed of all or any part of the undertaking of the client;
 - v. If the client has voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency

law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;

- vi. If the client being a partnership firm, has any steps taken by the client and/ or its partners for dissolution of the partnership;
- vii. If the client have taken or suffered to be taken any action for its reorganization, liquidation or dissolution;
- viii. If the client has made any material misrepresentation of facts, Including (without limitation) in relation to the Security;
- ix. If there is reasonable apprehension that the client is unable to pay its debts or the client has admitted Its inability to pay its debts, as they become payable;
- x. If the client suffers any adverse material change in his / her / its financial position or defaults in any other agreement with the broker;
- xi. If the client is in breach of any term, condition or covenant with the broker.
- xii. If any covenant or warranty of the client is incorrect or untrue in any material respect;

9. Other significant policies and procedures

a. Proprietary Trading

The broker does undertake proprietary trading.

b. Trading Related

- i. The relationship being established between the client and the broker shall be that of principal and agent and the broker shall be the agent of the client. The client is required to make independent enquiries and satisfy himself that he is entitled to enter into the broker client relationship with the broker in the jurisdiction in which the client resides.
- ii. The broker recommends that client places orders in writing and takes acknowledgement as per the format available from the broker, so as to avoid any future disputes. The client may also choose to place orders verbally (by visiting the branch or through telephone), through e-mail, SMSs and /or through Internet based trading terminal which can be provided to the client upon requirement of client. The client may authorize his authorized representative to carry out the above whose orders shall be binding on the client.
- iii. The broker advises that it is preferable that client gives orders on the day the client desires trades, including orders for modification/cancellation, which the broker will punch in the trading system on a best efforts basis. However if client chooses to give orders in aftermarket hours than upon specific instruction of the client the broker may attempt to enter them in the trading system on the next trading day however it may not be possible in all instances to do so.
- iv. Orders given by client may or may not result in trades and the client should continuously monitor the final outcome of the

Continued on next page

- order. Where orders have resulted in trades the same may or may not result in delivery and the client should continuously monitor his pay in / payout obligations.
- v. The client is aware that as an additional service measure the broker provides online access through its web portal for which client has been provided CRN login and password, client is able to go through entire trading, holding, contract note, bill and other details, download / print the same through this facility. Client must access transactions in his account on regular basis and report discrepancies if any observed immediately to the notice of the broker so as to enable broker to take such remedial action as may be possible.
 - vi. No employee, sub broker, director or any other agent of the broker is authorized to give any assurances of profits, or to manage the account of the client in any discretionary manner. The client must make his own decisions regarding suitability of orders/investments and if need be rely upon his own independent advisers whether in matters of orders/trades or in the matters relating to taxation etc. Accordingly the client should not enter into any personal/private mutual understanding with any employee, sub broker, director or any other agent of the broker.
 - vii. The broker use trading platform which is exchange approved however there is no guarantee/warranty that this are bug / glitch free and the client may in rare occasions suffer losses which he should keep in mind while dealing through the broker.
 - viii. Where prima-facie it appears that trading system is suffering from some problems such as incorrect positions being shown etc. the client should refrain from trading and immediately bring the problem to the notice of the broker and act only after the problem has been resolved. The client understands that any action of client in such situation without informing the broker or not acting in accordance with the directions of the broker may cause loss in his account or may cause loss to be suffered by broker / any other client due to his action, which client may need to make good.
 - ix. The broker may tape record conversations of the client over telephone/in person however the broker shall not be obliged to do so and absence of availability of recordings shall not render any order/transaction unenforceable against the client, in as much as the orders can be placed over mobile phones, through visiting the branch and the recorded orders are also liable to damage/erasure on account of virus/capacity overrun of the machine.
 - x. As a measure of risk containment the broker may subject orders of the client to prior risk control assessment (such as checking availability of margin) before allowing the same to go into the trading system.
 - xi. The broker issues contract notes with the order number and trade number and therefore shall not be providing order confirmation and trade confirmation slips to the client.
 - xii. The broker may send various information such as, documents, bills, margin statements, statement of accounts etc., to the client through any one or more of the following means i.e. post, registered post, speed post, courier, telegram, voice mail, SMS, telephone, messages on trading platform, through word of mouth by the agents of the broker, by displaying it on the website of the broker or making it available as a download from the website of the broker, displaying it on the notice board of the branch, and where the client has provided email address, then these information may also be sent by the broker through email. The service providers such as postal authorities, Courier Company, phone / SMS service providers etc., shall be deemed to be agents of the client and the delivery of the information shall be complete when the broker delivers the communications to such service providers.
 - xiii. The client should review all information sent to the clients including contract notes etc. immediately upon receipt and revert to broker with discrepancy if any (including if any trades in the account are not as per client directions/ orders) as early as practicable from the receipts of the same to allow the broker to take possible remedial steps.
 - xiv. The client shall forthwith cease trading and inform the broker in the event the client becomes ineligible to deal with the broker on account of any court, exchange or regulatory action.
 - xv. The broker shall be entitled to disseminate information about defaults made by the client to third parties.
 - xvi. The client shall not work as a sub broker/authorised person without prior written permission of the broker and only after seeking appropriate registration with respective registering authorities.
 - xvii. The client shall also not deal through the broker on an exchange of which the client himself is a member / Sub Broker / Authorised Person.
 - xviii. The broker shall be entitled to maintain combined/ collective books of accounts of the client across exchanges and/or other services such as depositories etc.
 - xix. The records as provided by the broker through contract notes, bills, statement of accounts, statement of margin etc. shall be the official records to determine the obligations of broker and the client. Printouts taken from trading system or any such downloads etc. which are taken by the client from trading system may not give the correct picture and therefore shall not be the official record which bind the broker and the client.
 - xx. The client shall upgrade to the newer versions of trading software/back-office software etc. on account of changes made by the broker and/or any other service provider like depositories/Banks.
 - xxi. The existence of broker client relationship does not imply that broker is liable to provide each and every service to the client, and services may not be provided in case the client does not fulfill the necessary conditions for the same.

Continued on next page

xxiii. Where client chooses to clear his trades through Professional Clearing Member (PCM), then the broker shall revert such trades as are not confirmed by the PCM to the client who shall be then liable to not only pay all the margins on the same but to also settle the same.

c. Payment related

- i. The broker may debit charges for other services such as depository, charges on account of dishonour of cheques, charges for issuance of demand drafts, NEFT/RTGS, SMS charges, research report charges, administrative charges offline for offline order on per executed order, minimum processing fee charges etc. to the client's account.
- ii. The broker does not accept cash and in no event should the client make any payments to the broker, broker's employees, broker's sub-broker/authorised persons and/or any agent of broker by cash.
- iii. The client must make payments from his own bank account through a bank instrument. The bank instrument must be drawn in favor of the broker only. The broker may demand a proof that the payments are being made by the client from his own account without which the Broker may not give credit to the client. In case of Demand Drafts, following may be required:
- iv. Certificate from the issuing bank on its letter head or on a plain paper with the seal of the issuing bank.
- v. Copy of the passbook/bank statement for the account debited to issue the instrument duly certified by the Bank. Please ensure that copy of the passbook/bank statement clearly reflects that amount has been debited for the purpose of issuing of prefunded instruments in favor of ACEMONEY.
- vi. The client should never leave any blank instrument(s) such as cheques / depository instruction slips (DIS) etc. with broker or broker's employees, broker's sub-broker/ authorised persons and/or any agent of broker. The broker shall not be responsible for their misuse.
- vii. The broker shall make delivery of funds/securities to the client only after the same has been received from the exchange/clearing corporation/clearing house /the delivering client.

d. Corporate Action

- i. If client has kept securities in broker's margin account for use as margin/ delivery against trading/ dealing of client, the client should continuously monitor if any corporate benefits are proposed/ offered by the issuer company(ies) of such securities and get the securities transferred to his personal demat account to receive the corporate benefits, the broker shall not be required to make the applications for proposed/ offered corporate action/ benefits. Broker, in exceptional circumstances, upon specific written request, may attempt to seek corporate benefits for and on behalf of the client while keeping the custody of securities with its own self, however the same shall be without any warranty/guarantee that the corporate benefits shall be received. The client shall also provide funds and application etc. well in time to allow the broker to take necessary action.
- ii. Where any corporate benefits come into the broker's account, upon any written request / agreed settlement procedures the same shall be held as part of existing margin/collateral, and shall be released to the client upon written request.
- iii. The contract specifications may undergo changes because of corporate actions (such as shares split etc.) /directions by exchanges, and the client should actively monitor his open positions and take necessary actions to avoid any losses on account of any such changes.

e. Disputes Redressal

- i. The broker and the client agree that they shall refer any claim and/or difference and/or disputes to arbitration as per the rules, byelaws and regulations of the concerned exchange and circulars issued there under as may be in force from time to time.

10. Client Inactive Policy

Client account will be considered as inactive if the client does not trade for period of six months. Calculation will be done at the beginning of every month and those clients who have not done any transaction in any exchange will be considered as inactive, the shares/credit ledger balance if any will be transferred to the client within fifteen days of the identifying the client as inactive. The client has to submit written request for reactivation of their account.

Client confirms having read & accepted the terms & conditions of this document titled "Policies and Procedure" inter-alia comprising clauses 1 to 10 and agrees to be bound by all of them (including sub clauses).

Date :- DD MM YYYY

Client Signature : 

TARIFF SHEET**MANDATORY****BROKERAGE****CASH SEGMENT**

Brokerage	in% (subject to max. of 2.5%)	Minimum Paise (subject to max. 25/- paise)	One Side/Both Side
Delivery Based			
Daily Square up			

Minimum brokerage charge for trading in illiquid/high risk scrips in cash segment will be .50% on delivery and .05% on intraday turnover with minimum 5 paise (Scrip list available under client login).

DERIVATIVE SEGMENT

Brokerage	in% (subject to max. 2.5% / ₹100/- Per Lot)	Minimum Paise/ Per Lot	One Side / Both Side
Future			
Option			

CURRENCY DERIVATIVES & INTEREST RATE FUTURES SEGMENT

Brokerage	Brokerage per lot (in ₹)	One Side/Both Side
Future		
Option		

Other Charges:

Nature of Charge	Applicable Charge
a) Subscription Fee for EXE Based Users per segment	₹ 500/- per month
b) Inter Settlement Charges - For Non POA A/c's	0.03% subject to Min ₹ 25/- Max ₹ 999/-
c) Monthly/ Quarterly Physical Statements dispatch	₹ 100/- (Per dispatch)
d) Delayed Payment Charges – On overdue balance towards trading or margin (Standard rate per annum)	21%

In addition to brokerage additional charges including statutory levies i.e. stamp duty, exchange transaction charges, SEBI fees, service tax, STT, other taxes and levies, investor protection fund fees etc. charged by the statutory authorities/ Exchange(s), delayed payment charges, cheque bounce charges, auction settlement charges as may be applicable will be levied.

I/We understand that economic condition change over time and therefore agree that ACEMONEY is authorized to change the tariff in future.

Client Signature: 

Date :- DD MM YYYY



ACEMONEY INTERMEDIARIES PVT. LTD.

CIN : U74899DL1985PTC022592

UG-1, Lusa Tower, Azadpur commercial Centre, Azadpur, Delhi-110033

Ph: 011-42700800, E-mail : info@acemoneyindia.com

SCHEDULE OF DEMAT CHARGES FOR DEPOSITORY SERVICES (Corporate/Individual)

DP ID : CLIENT ID : Effective: 01/04/2017

Charge Head	Charges
Annual Maintenance Charges	₹ 250/- (Individual / HUF)
Lifetime DP AMC Charges	₹ 1000/- (Individual / HUF)
Yearly Maintenance Charges	₹ 1000/- (Corporate / NRI) + Actuals
Transaction Charges	
Delivery (a) POA-A/c's	0.015% subject to Min. ₹ 15/- Max. ₹ 500/-
Delivery (b) Non POA-A/c's	0.03% subject to Min. ₹ 30/- Max. ₹ 999/-
Failed Instruction	₹ 20/- Per Transaction
Creation of Pledge (Chargeable from the Pledger)	₹ 50/- Per Instruction
Closer/Invocation of Pledge	₹ 50/- Per Instruction
Securities Borrowing	₹ 40/- Per Instruction
Dematerialisation	₹ 5/- Per Certificate + Postage
Rematerialisation	₹ 50/- Per Certificate
Delivery Instruction Book	₹ 100/- Per Book for 10 Slip

- Any service not listed above will be charged for extra.
- Annual charges will be levied w.e.f. 1st Day of the quarter in which accounts is opened.
- Charges for modification in client master is Rs. 100/- per instance.
- Charges for delivery instruction accepted at client's sole risk beyond CDSL deadline, Delivery instruction slip must be received at Office Address: UG-1, Lusa Tower, Azadpur Commercial Centre, Azadpur Delhi-110033, within CDSL stipulated deadline.
- Non-periodic statement and other communication shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs.40/- per mail.
- In case bank mandate for debit through ECS is not given, minimum credit balanced of Rs. 500/- shall be maintained as advance towards future charges.
- In case of foreign correspondence address, in addition to annual account maintenance charges. Statement/ communication charges @ Rs. 50/- per mail shall be charges extra.
- In case of any upward revision in schedule of charges, 30 days notice would be given by post/courier,
- Service Tax will be charged as per applicable rates.

For Acemoney Intermediaries Pvt. Ltd.



First Holder Signature

Second Holder Signature

Third Holder Signature

To,
ACEMONEY INTERMEDIARIES PRIVATE LIMITED
 UG-1, Lusa Tower, Azadpur commercial Centre, Azadpur, Delhi-110033

Dear Sir,

- I/we wish to avail the BSDA facility for the new account for which we have submitted my/our Account Opening Form
 I/we wish to avail the BSDA facility for my following Existing account.

DP ID 12033400 CLIENT ID _____

	Name	PAN
Sole/First Holder		
Second Holder		
Third Holder		

Charge Structure will be as under for BSDA Accounts :

Holding Value	AMC
Upto ₹ 50,000/-	NIL
From ₹ 50,000/- to ₹ 2 Lacs	₹ 100/-

Transaction Charges	
Delivery (a) POA-A/c's	0.015% subject to Min. ₹ 15/- Max. ₹ 500/-
Delivery (b) Non POA-A/c's	0.03% subject to Min. ₹ 30/- Max. ₹ 999/-
Failed Instruction	₹ 20/- Per Transaction
Creation of Pledge (Chargeable from the Pledger)	₹ 50/- Per Instruction
Closer/Invocation of Pledge	₹ 50/- Per Instruction
Securities Borrowing	₹ 40/- Per Instruction
Dematerialisation	₹ 5/- Per Certificate + Postage
Rematerialisation	₹ 50/- Per Certificate
Delivery Instruction Book	₹ 100/- Per Book for 10 Slip

- Any service not listed above will be charged for extra.
- Annual charges will be levied w.e.f. 1st Day of the quarter in which accounts is opened.
- Charges for modification in client master is Rs. 100/- per instance.
- Charges for delivery instruction accepted at client's sole risk beyond CDSL deadline, Delivery instruction slip must be received at Office Address: UG-1, Lusa Tower, Azadpur Commercial Centre, Azadpur Delhi-110033, within CDSL stipulated deadline.
- Non-periodic statement and other communication shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs.40/- per mail.
- In case bank mandate for debit through ECS is not given, minimum credit balanced of Rs. 500/- shall be maintained as advance towards future charges.
- In case of foreign correspondence address, in addition to annual account maintenance charges. Statement/communication charges @ Rs. 50/- per mail shall be charges extra.
- In case of any upward revision in schedule of charges, 30 days notice would be given by post/courier,
- Service Tax will be charged as per applicable rates.

For Acemoney Intermediaries Pvt. Ltd.



First Holder Signature

Second Holder Signature

Third Holder Signature

**In favour of broker for operational requirement at per client
Instructions**

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE.....
 Rio.....India, Indian inhabitant SEND GREETINGS

Whereas I/We am/are investor (a) in buying and selling of securities through Acemoney Intermediaries Pvt. Ltd. (a member of NSE, BSE, MSEI having SEBI Registration No INZ000104331 and here in after referred to as "**ACEMONEY INTERMEDIARIES**")

Whereas I/We am/are holding a beneficiary account Nothrough Acemoney Intermediaries Pvt. Ltd. bearing DP- ID 12033400 and due exigency & paucity of time I/We wish to appoint an agent/attorney to Operate the aforesaid beneficiary account

NOW KNOW ALL AND THESE PRESENT WITNESSTH THAT I.WE THE ABOVENAMED DO HEREBY NOMINATE CONSTITUTE/AND APPOINT "ACEMONEY INTERMEDIARIES" as my true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name and at my/our cost expenses to do the following acts deeds or things

1. To do instruct the aforesaid depository participant to debit securities and / or to transfer securities from the aforesaid beneficiary account for the purpose of meeting margin/ delivery obligations of the recognized stock exchange toward any segment arising out of the trades executed by me/ us through them.
2. To instruct DP to create Pledge of securities to ACEMONEY INTERMEDIARIES in CMTM Margin Pledge Account DP ID **1203340000053051**, the same will be Re-Pledge to the NSE / BSE or Clearing member as Margin Obligation in connection with the trades executed by me/us through ACEMONEY INTERMEDIARIES
3. To instruct the DP to debit securities to the said BO account and/or transfer securities from the said account to ACEMONEY INTERMEDIARIES NSE pool account no's 1203340000000051, 1100001100015844, 1100001000020894 to the extent of shares sold be me /us for Pay-in obligation towards any stock exchange.
4. Unless specifically instructed by me / us with in the reasonable time, to apply for various products like shares & Securities. Public Issues (shares as well as debentures), subscriptions to rights, offers to shares, tendering shares in open offers, mutual fund , redemption of Mutual Funds, etc.
5. To send me/us consolidated scrip-wise position summary with average rates on daily basis by the way of SMS/ Email on my mobile or on me email id (details of which have already been provided to ACEMONEY INTERMEDIARIES or as updated by me from time to time).
6. To transfer charges lived in my BO account to my trading ledger account of NSE & BSE.
7. To do all such thing and give all such instructions as mentioned above concerning the said account as / we myself / ourselves could give if I/we was /were personally present

That the ACEMONEY INTERMEDIARIES would liable to return back all the securities that may been transferred erroneously.

This authority is restricted to the margin / delivery obligations arising out of the transitions of trades executed by me/us through ACEMONEY INTERMEDIARIES and I/We ratify the instruction given by the aforesaid clearing member to the Depository Participant hereinabove in the manner specified herein.

That powers and authorities conferred by this power of attorney can be revoked at any point of time, without any notice.

Witness where of I/We have executed this Power of Attorney on this day of20.....

SIGNED AND DELIVERED BY:

	Sole/First holder	Second Holder	Third Holder
Name of A/c Holder			
Sign of A/c Holder			

I/We Accept

Acemoney Intermediaries Pvt. Ltd.

1. On behalf of Client (Witness)
 Name
- Address

2. On behalf of Client (Witness)
 Name
- Address
- Signature

Signature.....

Note : In case of HUF all major coparceners must sign on all pages of POA.



To,
ACEMONEY INTERMEDIARIES PRIVATE LIMITED
UG-1, Lusa Tower, Azadpur Commercial Centre, Azadpur, Delhi-110033

Dear Sirs,

Re.: Letter of Authority - Our Trading Account with you; UCI:.....

I/We have been / shall be dealing through you as my / our broker on the Capital Market and/ or Futures & Options Segments / Currency Derivative Segments. These instructions are applicable for all the exchanges / segments in which I / we have opened account with you. As my / our broker i.e. agent I / We direct and authorize you to carry out trading / dealings on my / our behalf as per instructions given below:

1. **VERBAL ORDER ACCEPTANCE AUTHORISATION:** As I/We shall be dealing by ordering over phone and even if I/we visit your branch/dealer, the fluctuations in market are so rapid that it is not practical to give written instructions for order placement/modification and cancellation. I/we hereby authorise you to accept my/our or my/our authorised representative's verbal instructions for order placement / modification and cancellation in person or over phone (fixed line or mobile phone) and execute the same. I/we understand the risk associated with verbal orders and accept the same, and agree that I/we shall not be entitled to disown orders and consequent trades (if any) under the plea that the same were not under mine /our instructions. I/we agree that I/we will not have the right to shift the burden of proof by asking you to prove the placement of orders through telephone recording or otherwise. Further, I/we shall be exclusively and entirely responsible for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.
2. **STANDING INSTRUCTIONS FOR NO PRINTED ORDER / TRADE CONFIRMATION SLIPS:** I/We hereby authorise you NOT to provide me/us Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required from contracts/bills issued by you.
3. **RECEIPT OF TRADE CONFIRMATION & INFORMATION BY SMS:** I/We hereby agree and give my/our consent for receiving the trade confirmations and/or other market related information / notifications via SMS/text message. I/We have also understood that I/we will not receive the telephonic trade confirmations.
4. **PRINTED FINANCIAL STATEMENTS / BILLS / CONTRACT NOTES:** I/We authorise you to refrain from sending printed copies of financial statements / holding statements / bills / contracts notes / etc. by hand / post / courier to me, unless specifically requested by me/us. I/we prefer to obtain all the required statements / information in digital format by emails or from your website.
5. **AUTHORITY TO MAINTAIN COLLECTIVE BOOKS OF ACCOUNT:** I/we hereby authorise you to maintain combined / collective ledger account for all the trades done by me on any of the Segment of any of the Exchange. I do not need separate statement of accounts for each segment/exchange. Cheques paid by me/us or issued to me/us can also be considered as having been paid / issued for any or all the segments / exchanges collectively.
6. **ELECTRONIC CONTRACT NOTES:** I/ We agree not to hold you responsible for late / non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email servers, loss of connectivity, email in transit etc. I/ we agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me / us on account of any non-receipt/ delayed receipt for any reason whatsoever. I /We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my/our email account(s) / email id(s). In case , in any of the above segments / exchanges due to any reason, whatsoever, if you want to send contract notes in physical form , I / we here by permit you to send the same in physical mode. I/We understand that I am required to intimate any change in the email id/ email account mentioned herein above needs to be communicated by me through a duly signed request letter in original to you, provided however that if I/we am/are an internet client then in that event the request for change in email id/ email account can be made by me/ us through a secured access using client specific user id and password. Please treat this authorization as written ratification of my / our verbal directions / authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.



Client Signature

7. **PLEDGE AUTHORISATION:** I/We hereby authorise you to pledge/deposit my/our securities whether deposited as collateral/margin or permitted by us to be retained in the running account etc. by you with any person(s) including but not limited to anyone or more bank(s) and/or stock exchange(s) and/or clearing corporation(s) /clearing house(s) or any other person. You may at your absolute discretion raise funds, obtain bank guarantee(s) and FDRs etc. against such deposit/pledge. I/ we authorise you to do in my/our name and on my/our behalf all such acts, deeds and things as may be necessary and expedient for placing pledging / depositing my/our securities with a person of your choice. If for any reason the pledgee sells / liquidates these securities I/we shall not dispute or contest the acts of pledgee in any manner what so ever. Please treat this authorization as written ratification of my / our verbal directions / authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.
8. **AUTHORISATION TO SELL SECURITIES:** I/We understand that in case, of my/our failure to make the funds pay in or delivery of securities for my/our deals/trading by designated day, you are entitled to sell off in the market the securities received in pay out and/or deem our position closed out at applicable rates; or I/We do hereby request you not to carry out such sell off in the market the securities received in pay out and/or deem my/our positions closed out and I/We shall in consideration thereof shall pay the opportunity cost/interest on such non sold off securities and/or non closed out position without demur or protest at such opportunity cost and/or interest as you shall determine entirely at your discretion. I/We do hereby indemnify and agree to compensate for any losses, penalties you may suffer for agreeing to this direction.
9. **AUTHORISATION FOR DEBITING DEMAT ACCOUNT CHARGES TO TRADING ACCOUNT:** I/we authorize you to debit my/our account against the charges raised by you on account of Depository Services Provided by you or by on account of Depository Services Provided by them and bill raised by them in this regard.
10. **PENAL & OTHER CHARGES:** I/We request you to retain credit balance in any of my/our accounts and to use the idle funds towards my/our margin / future obligations at both the Exchanges unless I/we instruct you otherwise. I/We also authorise you to debit the necessary demat charges from time to time, for keeping my/our shares in your beneficiary demat account on my behalf. I/ We also authorise you to debit the financial penal charges upto @ 0.10% per day for the debit balances if any, in my/our account and not settled as per the exchange requirements by the designated days. I/we further authorise you to debit my account with bank charges an account of dishonour of cheques, stop payment, RTGS/NEFT/Payment Gateway Charges, DD/PO Charges, Printing & Courier Charges, SMS and advisory services charges, trading platform and software charges as may be applicable from time to time.
11. **OUTSTANDING POSITION SQUARE-OFF AUTHORISATION:** I/We authorise you to square-off my position entirely or in part as per your discretion anytime if the mark-to-market loss on my outstanding position exceeds 80% of the amount lying to the credit of my account held with you. Further, I/We authorise you to square-off my position entirely or in part as per your discretion anytime during the last 30 minutes of a trading session, in case the amount lying to the credit of my account with you after adjusting the MTM losses if any, is not sufficient to cover the margin required to carryover my outstanding position for the next trading session or to take delivery thereof.
12. **ELECTRONIC TRADING PLATFORM:** Trading on NSE is in electronic mode, based on VSAT, Leased Line, ISDN and Internet and a combination of technologies and computer systems to place and route orders. I/We understand that there exists a possibility of communication failure or system problems or slow / delayed response from the system or halt in trading or any such other problem / glitch whereby not being able to establish access to the trading system/network, which may be beyond our control and may result in delay in processing or not processing buy or sell orders either in part or in full. I/We agree that I/we shall not hold you responsible for any such problems / glitch.
13. **VOICE RECORDING:** I/We agree to your tape-recording the conversations between me/us, my/our representative and your officers/ employees/ agents etc. in its absolute discretion, either personally or over the telephone. Such recordings may be relied upon by you as and when required, and the I/we agree not to challenge the evidentiary value of such recordings.
14. **AUTHORISED REPRESENTATIVE:** I/We authorise Mr/Ms.....
R/o (hereinafter referred to as 'Authorised Representative') to deal/ transact on my/our behalf and to place orders, give instructions, make & receive payments of securities and monies, collect contract note bills, order confirmations, trade confirmations, account statements and any other documents or communication. The Authorised Representative is also authorised by me/us to sign any document, settle the account, enter into any compromise and to do any and all act (s) on my/our behalf which I/we can do. And I/we the undersigned do hereby agree and declare and confirm that all the acts and things done by him / her substitute shall be my/our act, deeds and things validity done by me/us to all intents and purposes. Please treat this authorization as written ratification of my/our verbal directions/ authorizations given and carried out by you earlier. I/we agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my/our authorisation given above.

- 15. **INVESTMENT IN IPO/FPO/MUTUAL FUNDS:** In order to make investments in mutual funds, initial public offerings/follow on public offerings, rights issue, fixed deposit(s) etc. and generally to invest in various avenues / products and to redeem/liquidate any investments I/we authorise you to give effect to the requirements by giving orders/ instructions (electronic/ physical/verbal) for the same and to sign any documents and / or do any and all act(s) as may be required or are deemed necessary in your opinion for these purposes from time to time.
- 16. **PORTFOLIO MANAGEMENT SERVICE:** I/We understand and agree that you or any of your agents do not provide Portfolio Management Services and do not offer any promises or returns on investment in stock market. You shall be in no way responsible for profit/loss that may accrue to me from investment in stock market.
- 17. **CASH DEALING:** I fully understand that cash dealings are strictly prohibited. I shall not pay or accept cash to/from you or any of your agents/employees.
- 18. **THIRD-PARTY PAYMENTS :** ACEMONEY shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per performa prescribed by ACEMONEY. Shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.
However, due to oversight if any such third-party payment has been accepted by ACEMONEY and the credit for the same has been given in the client ledger, ACEMONEY shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same in such a case, ACEMONEY reserves the right to liquidate any of the open positions and of the collaterals received/held on behalf of the client. ACEMONEY its Directors and employees shall not be responsible for any consequential damages or losses.
- 19. **RUNNING ACCOUNT AUTHORISATION:** I am/We are aware that you and I/we have the option to deliver securities/ make payments of funds to each other for settlement of dealings as per the schedule in force at the relevant time pursuant to directives / regulations/ circulars, issued by exchange/ regulatory authorities. However, I/we find it difficult to carry out repeated pay-in of funds and securities. Further, I/we also desire to use my/our securities and monies as margin / collateral without which we cannot deal/trade.

Therefore I /we hereby direct and authorise you to maintain running account(s) for me/us and from time to time debit these securities and funds from running accounts and make pay-in of securities and funds to exchanges/clearing corporations/other receiving party(ies) to settle my/our trades/ dealings. Similarly, where I/we have to receive securities/ funds in settlement of trades/ dealings please keep the securities and monies with you and make credit entries for the same in running accounts of securities and funds maintained by you.

Further, the funds & securities can be transferred from one segment to other and from one exchange to another as per the requirements. Further, subject to your discretion and valuation please treat my/our securities and funds lying to my/our credit in running accounts as margin/collateral for my/our dealings/ trading. You are authorize to do these acts across all exchanges & segments in which I / we have been / shall be dealing with you.

In the event I/we have outstanding obligations on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. While settling the account please send a 'statement of accounts' containing an extract from ledger for funds and an extract from the client demat ledger (register of securities) displaying all receipts/deliveries of funds/securities. Please explain in the statement(s) being sent the retention of funds/securities and the details of the pledge, if any. I agree that if I/we fail to bring any dispute arising from the statement of accounts or settlement so made to your notice within 7 working days from the date of receipt of funds/securities or statement, as the case may be in writing by delivery at your corporate office then in that event the statement of accounts or settlement so made shall attain finality. Further, do not carry out settlement of running account referred to above for funds given by me/us towards collaterals/margin in the form of bank guarantee (BG)/Fixed Deposit receipts (FDR).

Please further note that I am entitled to revoke this authorisation at any time. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above and further agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of securities / monies under this agreement.

Please note that for the purpose of periodic settlement, in case on th date of settlement, the balance in my/our account is in debit (due from me/us) or has a credit balance of less than Rs.10,000/-, then the account would be deemed to have been settled on such date. My/Our preference for actual settlement of funds and securities is at least:

Once in a Calender Quarter Once in a Calender Month

Yours faithfully,

Date:



Client Signature

DECLARATIONS BY CLIENT

VOLUNTARY

1. **NO MARKET MANIPULATION** : I undertake not to execute transaction, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, ACEMONEY has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.
2. **PMLA DECLARATION** : I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002 which were also explained to me by ACEMONEY officials. I further declare that I shall adhere to all provision of PMLA Act, 2002. I further declare that I shall undertake and confirm that,
 - a. I do not have any links with any known criminal
 - b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.
3. **INDEMNITY OF JOINT HOLDINGS** : I hereby agree to indemnify and hold ACEMONEY harmless from any trade related claims, demands, action, proceedings , losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.
4. **NRI DECLARATION** : I undertake to keep inform ACEMONEY about my tax status from resident to NRI or vice versa. I understand that if the sole/first applicant has or attains NRI status, investments in scheme of mutual funds can be made only upon providing foreign inward remittance certificate (FIRC) to ACEMONEY every time the investment is made.

5. **MOBILE & email id DECLARATION**

I declare that my mobile no is _____ and email id is _____.
The mobile belongs to my _____ spouse/father/mother/son/daughter and email id belongs to my _____ spouse/father/mother/ son/daughter and I authorize ACEMONEY that the same may be used for giving me any information/alert/SMS.



Client Signature

6. **CLIENT DEFAULTER DECLARATION** : I hereby declare that I have not been involved in any terrorist activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchange/Regulatory bodies, etc.
7. I hereby express my preference for receiving RDD, Policies & Procedures, Do's & Dont's for Trading & Rights and Obligations for Trading and Depository Services and other specified in the index of this booklet in electronic form / physical form.

Electronic Form Physical Form



Client Signature

To,

Date : _____

Acemoney Intermediaries Pvt. Ltd.

UG-1, Lusa Tower, Azadpur Commercial Centre, Azadpur, Delhi-110033 (INDIA)

Sub: Mutual Fund Service System (MFSS)Facility/ BSE StAR Mutual Fund Facility & other MF approved platform.

Sir, I/We _____ am/are registered as your client with Client Code No. _____ for the purpose of trading in the Capital Market segment and F&O/ Derivatives segment. I/We am/are interested in availing the trading facility of the Exchanges for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS and/or BSE StAR MF and/or other MF. For the purpose of availing these facilities, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of this facility and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the NSE Circular dated November 24, 2009, BSE circular dated December 2, 2009 and as may be specified by the Exchanges from time to time in this regard. I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. I/we agree and authorize you to issue payment on my behalf by debiting my account with the value of my/our transactions along with charges.

I/we therefore request you to register me/us as your client for participating in mutual fund trading facility of exchanges.

Details of terms & conditions for the Investor / Client for using MFSS facility BSE StAR MF & other MF Platform**1. Pre-requisites for becoming Investor / Client for the MFSS facility/ BSE StAR MF /other MF platform.**

- 1.1. The client who is desirous of investing in units of mutual fund schemes through the MFSS / BSE StAR /other MF platform.
- 1.2 The Client intends to execute his instruction for the subscription/ redemption of units of Mutual Fund Schemes through the broker who is a Mutual fund Intermediary (MFI) of the MFSS / BSE StAR /other MF platform.
- 1.3. The client has satisfied itself of the capacity of the MFI to deal in Mutual Fund units and wishes to execute its instruction through the MFI and the client shall from time to time continue to satisfy itself of such capability of the MFI before executing transacting through the MFI.
- 1.4. The Client has approached to the MFI with the application for availing the MFSS facility/BSE StAR/other MF platform.
- 1.5. The client has submitted relevant KYC (Know Your Client) details to the MFIs.

2. Terms and Conditions

- 2.1 The client shall be bound by circulars/ notices issued by NSEIL/BSE from time to time including the Circulars issued by NSEIL/BSE and rules, regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
- 2.2 The client shall notify the MFI in writing if there is any change in the information in the 'client registration form' provided by the client to the MFI at the time of registering as a client for participating in the MFSS / BSE STAR/other MF platform or at any time thereafter.
- 2.3. The client shall submit to the MFI a completed application form in the manner prescribed format for the purpose of

placing a subscription order with the MFI.

- 2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
- 2.5. The client shall be wholly responsible for all his investment decisions and instruction.
- 2.6. The client shall ensure continuous compliance with the requirements of the Exchanges, SEBI and AMFI.
- 2.7. The Client shall pay to the MFI fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that MFI renders to the Client.
- 2.8. The client will furnish information to the MFI in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
- 2.9. In the event of non-performance of the obligation by the MFI, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of NSEIL/BSE or NSCCL/ Indian Clearing Corporation Ltd. (ICCL), other exchanges/Clearing Corporation.
- 2.10. In case of any dispute between the MFIs and the investors arising out of the MFSS facility/ BSE StAR/other MF platform, exchanges and / or NSCCL/ICCL agrees to extend the necessary support for the speedy redressal of the disputes.

Sign here : 

Client Name _____

TERMS & CONDITIONS - MUTUAL FUNDS

For Online Investing in Mutual Funds, the below mentioned terms and conditions are in addition to and binding on the existing Power of Attorney and the Client Agreement that has been signed by you at the time of our becoming our client.

Definitions:

"Unit" shall mean the interest of an investor, which consists of one undivided share in the Net Assets of the Scheme.

"Net Asset Value (NAV)" of the Units of the Scheme will be computed by dividing the net assets of the Scheme by the number of Units outstanding on the valuation date. "Purchase" shall mean subscription to the units of the various schemes of the Mutual Fund.

"Redemption" shall mean sale of the units of the various schemes of the Mutual Fund.

"Switch" shall mean an option to the investor to shift his existing investment in any of the schemes of the Mutual Fund to another scheme of the Mutual Fund. "Systematic Investment Plan (SIP) or "Automatic Investment Plan (AIP)" or "shall mean an option available to the investor for investing in a specified frequency of months in a specified scheme of the Mutual Fund for a constant sum of investment. "Systematic Withdrawal Plan (SWP) or "Automatic Withdrawal Plan (AWP)" shall mean a facility provided to the investor to withdraw a pre-determined amount in a specified frequency of months from a specified scheme of the Mutual Fund.

1. Load shall mean a charge, which the Asset Management Company (AMC) may collect on entry and/or exit from a scheme.
2. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the Mutual fund / Acemoney Intermediaries Pvt. Ltd. responsible in anyway.
3. The units of the schemes shall be allotted, redeemed or switched, as the case may be, at the Net Asset Value (NAV) prevalent on the date of the application, if the application for purchase, redemption or switch is received by the Fund before the cut-off time as specified on the website and consistent with the terms of the Scheme. Acemoney Intermediaries Pvt. Ltd., shall take all necessary action to ensure that the NAV allotted is the NAV applicable on the date of the transaction. However Acemoney Intermediaries Pvt. Ltd., shall not be liable for any loss that may arise to the client as a result of the correct NAV not being allotted to the client's transactions on the website.
4. Any request falling due on a holiday would be processed on the next business day and respective NAV would be applicable as per the Mutual Fund's offer document.
5. In case of an NFO the units shall be allotted upon completion of allotment process. The NFO is open only for the period specified by the Mutual Fund. After the end of the NFO period, any forms submitted by the client shall be liable for being rejected by the chosen Mutual Fund and transfer of funds if any made by the client for the said transaction shall be at the sole risk and liability of the client.
6. In the case of Automatic Investment Plan, in the absence of sufficient allocated funds, unallocated funds to the extent available shall also be utilized. In the absence of sufficient funds, both allocated and unallocated, no investment shall be made for that particular period.
7. For change of address or any personal details of the client, the client shall send a letter or fax to Acemoney Intermediaries Pvt. Ltd., signed by all the co- holders.
8. Investors are advised to read the risk factors carefully before taking an investment decision. Offer document(s) / abridged offer document(s) are available with Mutual Fund Asset Management Companies. For taking an investment decision, investors must rely on their own examination of the issuer and offer, including risk involved.
9. Acemoney Intermediaries Pvt. Ltd., does not accept any liability for delay in processing the Mutual Fund's or Registrar's end.

10. It is explicitly stated herein that the Mutual Fund schemes offered online, have not been recommended by Acemoney Intermediaries Pvt. Ltd., nor have been sponsored by Acemoney Intermediaries Pvt. Ltd., or its affiliates or its sponsors.
11. Neither Acemoney Intermediaries Pvt. Ltd., nor any of the Mutual Funds shall be liable for any failure to perform its obligations, to the extent that such performance has been delayed, hindered or prevented by systems failures, network errors, delay or loss of data due to the above and in circumstances of acts of God, floods, epidemics, quarantine, riot or civil commotion and war:
12. Acemoney Intermediaries Pvt. Ltd., shall provide its services on a best effort basis. However Acemoney Intermediaries Pvt. Ltd., shall not be liable for failure of the system or for any loss, damage or other costs arising in any way out of:
 - a) System failure including failure of ancillary or associated systems, or fluctuation of power, or other environmental conditions; or
 - b) Accident, transportation, neglect, misuse, errors, frauds of the clients or agents or any third party; or
 - c) Any fault in any attachments or associated equipment of the clients; or
 - d) Any incidental, special or consequential damages including without limitation of loss of profit.

The client further agrees to indemnify Acemoney Intermediaries Pvt. Ltd., for any loss that may arise as a result of system failure, accident or any of the reasons mentioned above.

13. For all correspondences with Acemoney Intermediaries Pvt. Ltd., the client shall quote his e-broking account no.
14. I/We hereby agree/s to indemnify both the Mutual Fund and Acemoney Intermediaries Pvt. Ltd. and its employees for any loss arising as a result of any loss of data, unauthorized transaction / error during the financial transaction thereof.
15. I/we am/are aware that in order to comply with regulatory provisions under the Prevention of Money Laundering Act 2002, Rules issued there under and related guidelines/circulars issued by SEBI, KYC formalities are required to be completed for all Unit Holders, including Guardians and Power of Attorney holders, for any investment (whether new or additional purchase) of Rs. 50,000 or more in mutual funds. For the convenience of investors in mutual funds, all mutual funds have made special arrangements with CDSL Ventures Ltd. (CVL), a wholly owned subsidiary of Central Depository Services (India) Ltd. (CDSL)). I/We hereby agree to indemnify the Mutual Fund/Acemoney Intermediaries Pvt. Ltd./ Registrar and its employees for any rejection/loss arising as a result of not completing KYC registration during the financial transaction thereof.
16. Upfront commission shall be paid directly by the investor to the AMFI registered Distributor based on the investors' assessment of various factors including the service rendered by the distributor.
17. The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

" The Terms and Conditions set hereinabove may be modified by Acemoney Intermediaries Pvt. Ltd., without any prior notice to the client and the client's continued usage of the services shall be deemed to be an acceptance of the modified terms."

I/we have gone through the offer document/key information memorandum before deciding to make this investment in the mutual fund scheme.

Sign here : 

Client Name _____

TERMS AND CONDITIONS-CUM-REGISTRATION / MODIFICATION FORM FOR RECEIVING SMS ALERTS FROM CDSL VOLUNTARY

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages,

etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.

5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/ transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID

1	2	0	3	3	4	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____ Second Holder's Name : _____ Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

E-mail ID : _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)



Signatures

Sole / First Holder

Second holder

Third Holder

Place: _____

Date: _____

DECLARATION TO BE GIVEN BY HUF**VOLUNTARY**

To,

Acemoney Intermediaries Pvt. Ltd.

UG-1, Lusa Tower, Azadpur Commercial Centre, Azadpur, Delhi-110033

Dear Sir,

We, all the coparceners (members) detailed below of the **Hindu Undivided Family** having PAN No. do hereby authorize karta of the family Mr to open / operate in the name of this HUF a dealing / trading account and inter-alia to open and /or operate accounts with a Depository Participant, and to issue notices and instructions relating to transfer, pledging, dematerializing or Rematerializing, orders, execute deals through you for and on behalf of the above named HUF and we state that we are and shall always be jointly and severally responsible for the dealings/ orders/ trades/ transactions that are executed by the karta Mr. and all his orders / deals shall be binding on the HUF and all its members jointly as well as severally.

In the eventually of change of Karta or change in the constitution of HUF we shall inform you in writing and understand that we are required to collect from you an acknowledgement of having taken the same on record.

The Specimen Signature of the Karta Mr. is given below:

Specimen Signature in full (with Rubber Stamp)

Name of the Co-Parceners	Sex	Date of Birth	Relationship with the Karta	Signature of the Co-Parceners

Thanking you,
Yours faithfully,

.....
Signature of Karta (with Rubber Stamp)

DECLARATION BY SOLE PROPRIETORSHIP**FORMAT****FOR PROPRIETORSHIPS**

To,

ACEMONEY INTERMEDIARIES PVT. LTD.**Registered Office** : UG-1, Lusa Tower, Azadpur commercial Centre, Azadpur, Delhi-110033

I recognize that a beneficiary account cannot be opened with a depository participant in the name of a sole proprietorship firm as per Regulations. To facilitate the operation of the above trading account with you and for the purpose of completing the share/ other instruments transfer obligations pursuant to the trading operations, I authorize you to recognize the beneficiary Account No: _____ with Depository _____ having DP ID _____

opened in the name of the undersigned who is the sole proprietor of the firm.

I agree that the obligation for shares/ derivatives/ any other instrument(s) purchased and/or sold by the firm will be handled and completed through transfer to / from the above-mentioned account. I recognize and accept transfers made by you to the beneficiary account as complete the obligations by you in respect of trades executed in the above trading account of the firm.

Further I the undersigned am the sole proprietor of the firm and am solely responsible for the liabilities thereof, I shall advise you in writing of any change that takes place in the constitution of the firm and I will be personally liable to you for all the obligations that the firm may incur in the course of dealings with you and undertake to personally discharge such liabilities. The cheques/DDs may be issued by me from my individual account or my proprietorship bank account. The amounts so given shall be sole/exclusively for credit to the account of my above named sole proprietorship firm with you and I shall not lay any claim whatsoever in future against you for affording credit of such cheques / DDs issued from my individual/joint account credit of which has been provided by you to the account of my proprietorship firm M/s _____

SAMPLE BOARD RESOLUTION

FORMAT

FOR NON INDIVIDUALS

(To be printed on the letter head of the corporate entity) (IF APPLICABLE)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF M/s. _____ HELD at the registered office of the company on _____ DAY OF _____ 20____ AT _____ am/pm
 (Date) (Month) (Year) (Time)

Resolved that the company may trade/invest in securities and/or derivatives on any of the Stock Exchange/s

Resolved further that a trading account may be opened with Acemoney Intermediaries Pvt. Ltd. Member of the National Stock Exchange of India Ltd. (NSE), Bombay Stock Exchange Ltd. (BSE) & MSEI Ltd. for the purpose of dealing on Capital Market/ Derivative/Debt Market Segment or any other Segment that may be introduced by NSE/BSE/MSEI Ltd. in future and the said Member be and is hereby authorized to honor instructions, oral/written or electronic, given on behalf of the company by any of the under noted authorized signatories:

S.No.	Name	Designation	Signature
1.			
2.			
3.			

The above named are authorized to sell, purchase, transfer, endorse, negotiate documents and/or otherwise deal through Acemoney Intermediaries Pvt. Ltd. on behalf of the company.

RESOLVED FURTHER THAT Mr. _____ Director, and/or Mr. _____ authorized signatory of the company be and is/are hereby authorized to sign, execute and submit such applications. Undertakings, agreements, Power of Attorney and other requisite documents. Writings and deeds as may be deemed necessary or expedient to open and operate the aforesaid account and give effect to this resolution.

RESOLVED FURTHER THAT a demat account in the name of company may be opened with M/s Acemoney Intermediaries Pvt. Ltd. a Depository Participant of Central Depository Services India Ltd. (CDSL) and Mr. _____ Director, and/or Mr. _____ authorized signatory of the company be and is/are hereby authorized to sign, execute and deliver orders, instruction letters, share demat forms and such other requisite documents as may be considered necessary from time to time.

For _____

Chairman/Company Secretary

ANNEXURE-II - POA

(MATTER TO BE PRINTED ON NON JUDICIAL STAMP PAPER OF RS. 100/-)

THIS NON-JUDICIAL STAMP PAPER OF RS. 100/- FORMS PART & PARCEL OF THE POWER OF ATTORNEY FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT & MARGIN.

For **Acemoney Intermediaries Pvt. Ltd.****Beneficial Owner** 

(Authorised Signatory)

Beneficiary Account No.

PART II NON-MANDATORY DOCUMENTS

INTERNET TRADING LETTER

To,
Acemoney Intermediaries Private Limited
UG-1, Upper Ground Floor, Lusa Tower
Azad Pur, Delhi – 110033

Sub.: Internet Trading

Sir,
We wish to trade through internet on **NSE / BSE / MCX** and confirm that we are fully aware of and understands the risks associated with availing of a service of routing orders through internet including the risk of misuse and unauthorised use of our Username and/or Password by a third party and the risk of a person hacking into our account on your Internet Trading System and unauthorisedly routing orders on behalf of us through the System. We agree that we shall be fully liable and responsible for any and all unauthorized use and misuse of our Password and/or Username and also for any and all acts done by any person through your Internet Trading System on our Username in any manner whatsoever.

We hereby confirm you to send our Username and Password on the below mentioned e-mail address.

Login ID

E-mail Address

Thanks and best regards

Signature  _____

Name _____

Trading Code _____

Mobile No. _____

NOW USAGE CHARGES

NSE - CM/FO : NIL

BSE - CM/CD : Rs.300/- PS/PM + GST

MCX : Rs.300/- PM + GST

Signature  _____

KINDLY TICK THE SEGMENT

SUPPLEMENTAL MEMBER-CLIENT AGREEMENT (ITORS TRADING)

(This agreement forms part and parcel of the agreement between stock broker and client)

This agreement is made at _____
this _____ day _____ of 20 _____
between M/s. Acemoney Intermediaries Pvt Ltd
(member of NSE / BSE / MCX)
with SEBI Registration No. : INZ000104331)
hereinafter called MEMBER and having its
registered office at UG-1, Upper Ground Floor, Lusa
Tower, Azad Pur, Delhi – 110033 and _____
_____ a
individual/company/trust/firm or any other body
duly formed and registered under the relevant Act,
hereinafter called the CLIENT, having its
residence/registered office at _____
_____.

WITNESSTH :

Whereas the MEMBER is registered as TRADING MEMBER of **NSE / BSE / MCX** with SEBI Registration No.: INZ000104331

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Byelaws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objective relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitation on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions :

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.
2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result therefrom.

3. The agreement entered into between the MEMBER and the CLIENT shall terminated by mutual consent of the parties at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions.
4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.
5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorized representative are not revealed to any third party.
6. The CLIENT agreed that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/MEMBERS/EXCHANGE end.
7. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request, within _____(time period as specified by the Client) from time to time of execution of order/trade on the NEAT system, as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's risk management, by the MEMBER and is also aware that the MEMBER has to discretion to reject the execution of such orders based on his risk perception.
10. The Member and the Client are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of Delhi and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Delhi for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

Terms and Conditions in details are as under :

USER NAME AND PASSWORD:

1. The Client will be entitled to a username and password, which will enable him to access the Member's internet trading through order routed system for availing of the Service.
2. The Client is aware that the Member's internet trading through order routed system itself generates the initial password and that the Member is aware of the same. The Client agrees and undertakes to immediately change his initial password upon receipt thereof. The Client is aware that subsequent passwords are not known or available to the Member.
3. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whatsoever through the Member's internet trading through order routed system using the Client's Username and/or Password whether or not such person was authorised to do so.
4. The Client shall immediately inform the Member of any unauthorised use of the Client's Username or Password with full details of such unauthorised use including the date of such unauthorised use, the manner in which it was unauthorisedly used, the transactions effected pursuant to such unauthorised use, etc.
5. The Client acknowledges that he is fully aware of and understands the risks associated with availing of a service for routing orders over the internet including the risk of misuse and unauthorised use of his Username and/or Password by a third party and the risk of a person hacking into the Client's account on the Member's internet trading through order routed system and unauthorisedly routing orders on behalf of the Client through the System. The Client agrees that he shall be fully liable and responsible for any and all unauthorised use and misuse of his Password and/or Username and also for any and all acts done by any person through the Member's internet trading through order routed system on the Client's Username in any manner whatsoever.
6. The Client shall log off from the internet trading through order routed system at any time the Client is not accessing or using the Service and any liability incurred to the Client as a consequence of the Client not logging off the Service shall borne solely by the Client.
7. Without prejudice to the provisions of Clause above, the Client shall immediately notify the Member in writing with full details if:
 - (a) he discovers or suspects unauthorised access through his Username, Password or Account,
 - (b) he notices discrepancies that might be attributable to unauthorised access,
 - (c) he forgets his password or
 - (d) he discovers a security flaw in the Member's internet trading through order routed system.

In any of the above events specified in Clause above, the Client shall immediately change his

Password. However, if the Client is unable to change his Password by reason of his having forgotten his Password or his Password having been unauthorisedly changed by some other person or for any other reason then the Client shall immediately request the Member in writing to discontinue his old Password; and thereupon the Member shall cause the Member's internet trading through order routed system to discontinue the use of the Client's old Password and the Member's internet trading through order routed system shall generate a new Password for the Client which shall be communicated to the Client. At no point in time shall the Member be liable for any loss, whether notional or actual, that may be suffered by the Client on account of the misuse for the Password.

TRANSACTIONS AND SETTLEMENTS:

1. All orders for purchase, sale or other dealings in securities and other instructions routed through the Member's internet trading through order routed system via the Client's Username shall be deemed to have been given by the Client.
2. The orders and instructions and all contracts and transactions entered into pursuant thereto and the settlement thereof will be in accordance with the Exchange Provisions.
3. the Member may from time to time impose and vary limits on the orders which the Client can place through the Member's internet trading through order routed system (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of which orders can be placed, the companies in respect of whose securities orders can be placed, etc.). The Client is aware and agrees that the Member may need to vary or reduce the limits or impose new limits urgently on the basis of the Member's risk perception and other factors considered relevant by the Member, and the Member may be unable to inform the Client of such variation reduction or imposition in advance. The Client agrees that the Member shall not be responsible for such variation, reduction or imposition or the client's inability to route any order through the Member's internet trading through order routed system on account of any such variation, reduction or imposition of limits. The Client understands and agrees that the Member may at any time, at its sole discretion and without prior notice, prohibit or restrict the Client's ability to place orders or trade in securities through the Member.
4. Though orders will generally be routed to the Exchange's computer systems within a few seconds from the time the order is placed by the Client on the Member's internet trading through order routed system, the Member shall not be liable for any delay in the execution of any order or for any resultant loss on account of the delay.
5. The Client agrees that the Member may, at its sole discretion, subject any order placed by a Client to manual review and entry, which may cause delays in the processing of the Client's order or may result in rejection of such order.

6. In case of a market order, the Client agrees that the will receive the price at which his order is executed by the exchange's computer system; and such price may be different from the price at which the security is trading when his order is entered into the Member's internet trading through order routed system.

7. Intraday Margin Trading Auto Swap :

Auto Square Off : Client/s agree that member would have the discretion to square off the position of client/s in following circumstances :

- (a) In case of margin trades, if the open buy position is neither squared off nor converted to delivery by client/s within the stipulated time.
- (b) In case of margin trades, if the open sell position is neither square off not converted to delivery by client/s within the stipulated time.
- (c) In case of margin trades, where the margin or security placed by the client/s falls short of the requirement or where the limits given to the client/shave been breached or where the client/s have defaulted on their existing obligation.

Client/s agree that although the auto square off trigger point predefined, subsequent to which the member could exercise its discretion to carry out the square-off within a reasonable period of time, the actual execution could happen at a price different from the trigger point and the client/s agree to bear the loss based on actual executed price. The member shall also have the right to cancel any or all open orders and/or close any or all outstanding contracts. Client/s understand that in case the auto square off does not take place due to certain reasons like price band, etc. then the member shall convert the open positions to delivery. If the conversion also falls due to non-availability / shortage of demat shares, then for the open sale position, the clients will have to face auction or close out as per the rules of the concerned exchange. Consequently, client/s will be responsible for any resulting losses and all associated costs including penalty levied by the exchange. If the conversion also falls due to insufficient funds/no funds, then for the open buy position, the shares will not be delivered to the clients and the member will liquidate the shares anytime and the clients will be liable for any resulting losses and all associated costs incurred by the member.

8. Square off for Intraday trading will commence after 3:15p.m. (Square off timings are subject to change without prior notice) Clients are advised to square-off their 'Intraday' positions before the above mentioned time on each day. The Client's failure to comply with the timings mentioned above shall entitle us to square-off the Client's Open Positions. In such an eventuality, we may exercise this right to square-off on our sole discretion without any further reference or notice to the client.

MARGIN:

The Client agrees and undertake to immediately deposit with the Member such cash, securities or other acceptable security, which the Member may require as margin. The Client agrees that the

Member shall be entitled to require the Client to deposit with the Member a higher margin than that prescribed by the Exchange. The Member shall also be entitled to require the Client to keep permanently with the Member a margin of a value specified by the Member so long as the Client desires to avail of the Member's internet trading through order routed system.

CANCELLATION REQUESTS

1. When the Client places a request to cancel an order, the cancellation of that order is not guaranteed. The order will only be cancelled if the Client's request for cancellation is received and the order is successfully cancelled before it is executed.
2. The Client shall not be entitled to presume an order as having been executed or canceled until a confirmation from the Member is received by the Client.
3. The Exchange may null a trade suo-moto without giving a reason therefor. In the event of such annulment, the Member shall be entitled to cancel the relative contract(s) with the Client.

BROKERAGE, COMMISSIONS AND FEES

1. The Client agrees to pay the member brokerage, commission, fees, services tax and other taxes and transaction expenses as they exist from time to time and as they apply to the Client's account and transaction, and the services that he receives from the Member.
2. A schedule of brokerage, fees and commissions, applicable service and other taxes and other transaction expenses shall be provided by the Member to the Client from time to time upon request by the Client.

CONFIRMATIONS

1. Online confirmation will be available to the Client upon execution cancellation of an order placed by him through the Member's internet trading through order routed system. This shall be followed by a confirmation, which may be sent by postal mail, electronic mail or other electronic means. It is the responsibility of the Client to review upon first receipt, whether delivered to him online, by postal mail, by electronic mail, or other electronic means, all confirmations of transactions of cancellations.

INVESTMENT ADVICE

1. The Client acknowledges that the Member shall not be liable to provide him with any legal, tax, investment or accounting advice regarding the suitability or profitability of a security or investment.
2. The Client also acknowledges that the Member's employees are not authorized to give any such advice and that the Client will not solicit or rely upon any such advice from the Member or any of its employees.
3. The Client agrees that in the event of the Member or any employee or official of the Member providing any information, recommendation or advice to the Client, the Client may act upon the same at the sole risk and cost of the Client, and the Member shall not be liable or responsible for the same.

4. The Client assumes full responsibility with respect to his investment decisions and transactions.
5. The Member, its officers, directors, partners, employees, agents and affiliates will have no liability with respect to any investment decisions or transactions of the Client.

SUPPLEMENTAL TO MAIN MEMBER - CLIENT AGREEMENT :

1. This Agreement is supplemental to, and does not supersede, the Main Member-Client Agreement. Save the except as modified expressly or by implication by this Agreement the Exchange Provisions or the terms of the Member's internet trading through order routed system Website, the provisions of the Main Member-Client Agreement shall apply *mutatis mutandis* to the extent applicable to dealings between the Member and the Client pursuant to or otherwise relating to the Member's internet trading through order routed system.

REPRESENTATIONS AND WARRANTIES OF CLIENT

The Client represents and warrants to the Member that :

1. All the information provided and statements made in the Client's internet trading through order routed system Account Application are true and correct and are not misleading (whether by reason of omission to state a material fact or otherwise) and the Client is aware that the Member has agreed to provide the Member's internet trading through order routed system to the Client on the basis, inter alia, of the statements made in the Client's internet trading through order routed system Account Application.
2. The Client is aware and acknowledges that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals etc. which are susceptible to interruptions and dislocations; and the Member's internet trading through order routed system may at any time be unavailable without further notice. The Member and the Exchange do not make any representation or warranty that the Member's ITORS Service will be available to the Client at all times without without any interruption. The Client agrees that he shall not have any claim against the Exchange or the Member on account of any suspension, interruption, non-availability or malfunctioning of the Member's internet trading through order routed system or Service or the Exchange's service or systems for any reason whatsoever.
3. The Client has the required legal capacity to, and is authorised to, enter into this Agreement and is capable of performing his obligations and undertakings hereunder.
4. All actions required to be taken to ensure compliance of all the transactions, which the Client may enter into pursuant to this Agreement with all applicable laws, shall be completed by the Client prior to such transaction being entered into.
5. The Client shall abide by the Exchange Provisions and the terms of the Member's internet trading

through order routed system Website in force from time to time.

6. Any instructions given by an authorised representative of the Client to the Member (or to the Member's representative) shall be binding on the Client.

REPRESENTATIONS AND WARRANTIES OF THE MEMBER:

The Member represents and warrants to the Client that :

1. The Member's internet trading through order routed system has been approved by the Exchange. Where the internet trading through order routed system has not been approved by the Exchange, the Member has applied/ proposes to apply to the Exchange to approve the said internet trading through order routed system and the Member will commence the Member's internet trading through order routed system only after the Exchange has approved the Member's internet trading through order routed system.

MARKET DATA

1. The Client understand that the Exchange asserts a proprietary interest in all of the market data it furnishes, directly or through the Member or otherwise. The Client understands that the Exchange does not guarantee the timeliness, sequence, accuracy or completeness of market date or any other market information, or any messages disseminated by it. Neither the Member nor the Exchange shall be liable in any way for incorrect, misleading, incomplete or dated data or information said, if the Client acts on the basis of the same, he shall do so at his own risk and cost.
2. The Client shall not furnish market information provided by the Exchange to any other person or entity for consideration or otherwise and in the event the Client uses such information he shall do so at his own risk and cost.

NOTICES

1. Any notice or other communication to be given by any party to the other in connection with this Agreement shall be in writing and shall be deemed duly served if delivered personally or sent by facsimile transmission or by prepaid registered post or by e-mail to the addresses at the address or (as the case may be), the e-mail or facsimile number (if any), of that party set opposite its name below:

To the Member at :

Name of the person concerned :
 Address :
 Fax # :
 e-mail :

To the Client at :

Name of the person concerned :
 Address :
 Fax # :
 e-mail :

EXTRAORDINARY EVENTS

- 1. The Member and/or its agents will not be liable for losses caused directly or indirectly by government restriction, Exchange or market rulings, suspension or trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, strikes or any other conditions beyond the Member's control.

AMENDMENT TO AGREEMENT

- 1. The Client understands and agrees that the Member may discontinue his internet trading through order routed system in part or in its entirety and change the terms of the Service (including the terms on the Member's internet trading through order routed system Website) at any time and from time to time, without prior notice.

TERMINATION OF AGREEMENT :

- 1. The Client agrees that the Member may at any time terminate this Agreement. The Client is aware and accepts that in view of the nature of the transactions and dealings involved in providing the Service it may not be possible for the Member to give advance notice of such termination or suspension to the Client.
- 2. The Client may at any time terminate this Agreement by not less than seven days notice to the Member, provided that unless the Member otherwise permits, the Client shall not be entitled to terminate this Agreement so long as any amount is payable or securities are deliverable by the Client to the Member.
- 3. The termination of this Agreement shall not affect any rights or obligations of either party which have accrued prior to the termination or which may arise out of or in connection with acts done or omitted prior to the termination.
- 4. The provisions of Clauses 14, 20 and 21 of this Agreement shall survive the termination of this Agreement.

SEVERABILITY

- 1. In the event of any provisions of this Agreement being held to be or becoming invalid, unenforceable or illegal for any reason, this

Agreement shall remain otherwise in full force apart from the said provision which will be deemed deleted. The parties shall however attempt to replace the deleted provision with a legally valid provision that reflects the same purpose as the deleted provisions to the greatest extent possible.

WAIVER

- 1. No forbearance, relaxation or inaction by any party at any time to require the performance of any provision of this Agreement shall in any way affect, diminish, or prejudice the right of such party to require the performance of that or any other provisions of this Agreement or be considered to be a waiver of any right, unless specifically agreed in writing.

LAW AND JURISDICTION

- 1. This Agreement shall be governed by the construed in all respects in accordance with the laws of the Republic of India and, subject to the provisions of Clause above, the courts at Mumbai, India shall have jurisdiction over this Agreement and the arbitration proceedings in relation to the Agreement.
- 2. This Agreement and all contracts and transactions between the Member and the Client pursuant hereto shall be subject to the Exchange Provisions, the Rules, Bye-laws, Regulation and other provisions of its clearing house, if any, the provisions of the Securities and Exchange Board of India Act, 1992, the Securities Contracts (Regulation) Act of 1956 and the rules and regulations made thereunder and as amended from time to time.

DISPUTE RESOLUTION

Any claim dispute or difference arising between the Parties hereto in respect of this Agreement or any contracts, dealings or transactions pursuant hereto or any rights, obligations, terms or conditions as contained in this Agreement or the interpretation or construction of this Agreement shall be subject to the grievance redressal procedure of the Exchange and shall be subject to the arbitration procedure as prescribed by the Exchange Provisions.

IN WITNESS THEREOF the parties to the Agreement have caused these presents to be executed as of the day and year first above written.

**Signed and Delivered by
Client**

Name

Signature 

Witness

Name

Address

Signature

Place

For Acemoney Intermediaries Private Limited

Name

Signature

Witness

Name

Address

Signature

Date

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FATCA & CRS Declaration - Individual**MANDATORY**PAN Trading DP Code Name Place of Birth Country of Birth Nationality Annual Income Below Rs. 1 Lac Rs. 1 Lac to 5 Lac Rs. 5 Lac to 10 Lac
 Rs. 10 Lac to 25 Lac Rs. 25 Lac to 1 Crore >1 CroreNet Worth **Amount Rs**.....Net Worth as on
*(Net worth should not be older than 1 year)*Occupational Business Private Sector Professional Government Service Public Sector
Detail Agriculturist Housewife Student Retired Forex Dealer Others Pl. SpecifyPolitically Exposed Person (PEP) Related to Politically Exposed Person (RPEP) Are you a tax resident of any country other than India Yes No

If yes please indicates the all countries in which you are resident for tax purpose and the associated Tax ID number below.

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other, please specify)
1.			
2.			
3.			

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Acemoney Intermediaries Private Limited for any modification to this information promptly

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Sign here : (1)  Date : Place :

For Investor convenience, Acemoney Intermediaries Pvt. Ltd. collecting this mandatory information for updating across all Group Companies of AMIPL whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest AMIPL branch or

you can dispatch the hard copy to-

Acemoney Intermediaries Private Limited

UG-1, Upper Ground Floor, Lusa Tower, Azad Pur, Delhi - 110033

• For Detail Terms & Conditions please visit www.acemoneyindia.com

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN [#]			
Address	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office
Tax ID ^{**}			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%)			

* To include US, where controlling person is a US citizen or green card holder

** If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

*In case Tax Identification Number is not available, kindly provide functional equivalent

* Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Acemoney Intermediaries Private Limited for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	<input type="text"/>
Designation	<input type="text"/>

Sign here : (1) 

Date :

Place :

For Investor convenience, Acemoney Intermediaries Private Limited collecting this mandatory information for updating across all Group Companies of AMIPL whether you are already an investor or would become an investor in future. Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest AMIPL branch or you can dispatch the hard copy to-

Acemoney Intermediaries Private Limited
 UG-1, Upper Ground Floor, Lusa Tower,
 Azad Pur, Delhi - 110033

• For Detail Terms & Conditions please visit www.acemoneyindia.com

CHECKLIST OF DOCUMENTS TO BE SUBMITTED ALONG WITH THE FORM

A. Proof of Identity (POI): - List of documents admissible as Proof of Identity:	
<input type="checkbox"/>	PAN card with photograph/Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license. <small>Important: Copy of P AN card is mandatory .</small>
<input type="checkbox"/>	Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.
B. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)	
<input type="checkbox"/>	Unique Identification Number (UID) (Aadhaar) / Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
<input type="checkbox"/>	Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
<input type="checkbox"/>	Bank Account Statement/Passbook - Not more than 3 months old.
<input type="checkbox"/>	Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
<input type="checkbox"/>	Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
<input type="checkbox"/>	Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
<input type="checkbox"/>	For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
<input type="checkbox"/>	The proof of address in the name of the spouse may be accepted.
C. ADDITIONAL DOCUMENTS in case of trading in derivatives segments - illustrative list:	
<input type="checkbox"/>	Copy of ITR Acknowledgement
<input type="checkbox"/>	Copy of Annual Accounts
<input type="checkbox"/>	Net worth certificate
<input type="checkbox"/>	In case of salary income - Salary Slip, Copy of Form 16
<input type="checkbox"/>	Bank account statement for last 6 months
<input type="checkbox"/>	Copy of demat account holding statement.
<input type="checkbox"/>	Any other relevant documents substantiating ownership of assets.
<input type="checkbox"/>	Self declaration with relevant supporting documents.
*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.	
D. BANK PROOF	
<input type="checkbox"/>	Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
E. DEMAT ACCOUNT PROOF	
<input type="checkbox"/>	Demat master or recent holding statement issued by DP bearing name of the client.
F. SPECIFIC INSTRUCTIONS FOR HUF	
<input type="checkbox"/>	The Depository Account cannot be opened in joint names.
<input type="checkbox"/>	The Depository account shall be opened in the name of Karta under HUF category however PAN & Bank details of HUF to be mentioned
<input type="checkbox"/>	Please submit duly signed declaration with the names and signatures of all the co-parceners on letter head of HUF as per format.
G. SPECIFIC INSTRUCTIONS FOR PARTNERSHIP FIRM	
<input type="checkbox"/>	The depository account to be opened in the name of partner(s) under individual capacity in case of partnership firm, however PAN & Bank details of FIRM to be mentioned
<input type="checkbox"/>	Please provide a clear photocopy of the PAN Card of the Partner(s) in whose names depository account is to be opened.
<input type="checkbox"/>	Please provide a certified true copy of the Partnership deed.
<input type="checkbox"/>	Please submit duly signed declaration with the names and signatures of all partners on letter head of Partnership Firm as per format.
H. SPECIFIC INSTRUCTIONS FOR CORPORATE	
<input type="checkbox"/>	Copy of the balance sheets for the last 2 financial years (to be submitted every year).
<input type="checkbox"/>	Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).
<input type="checkbox"/>	Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.
<input type="checkbox"/>	Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.
<input type="checkbox"/>	Copies of the Memorandum and Articles of Association and certificate of incorporation.
<input type="checkbox"/>	Copy of the Board Resolution for investment in securities market.
<input type="checkbox"/>	Authorised signatories list with specimen signatures.

Please provide an initial cheque in favour of "Acemoney Intermediaries Pvt. Ltd." towards accounts opening charges, platform charges, subscription amount & margin amount whichever applicable.

- Note:
1. Complete document to be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.
 2. Trading in currency derivative allowed only to resident clients.
 3. All supporting documents should be Self-Attested by client.
 4. Fill all the requisite details in KYC form and other documents.
 5. Strike off the boxes or space, which are not applicable.

FOR OFFICE USE ONLY

Branch Code							
Depository	<input type="checkbox"/> Normal			<input type="checkbox"/> LIFETIME			
Client Code Allotted	Equity		Depository				
Initial Cheque Details							
Cheque No.		Dated	dd / mm / yyyy	Amount			
Drawn on							
	Name	Employee / Introducer Code	Signature				
Introducer							
RM							
Dealer							



ACEMONEY INTERMEDIARIES PRIVATE LIMITED

(Formerly known as Chugh Securities Private Limited)

Regd. Office : UG-1, Lusa Tower, Azadpur Commercial
Centre, Azadpur, Delhi-110033 (INDIA)

Phone: 011-42700800 • E-mail: info@acemoneyindia.com