Client Name : ______________________________

Application No.: ______________________________

Client Code : ______________________________

Branch Name and Code : ______________________________

Introducer RM Name : ______________________________

Trading Only : ______________________________

DP Only : ______________________________

Comments : ______________________________

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REGISTRATION KIT

ACEMONEY INTERMEDIARIES PVT. LTD.

(CIN : U74899DL1985PTC022592)

Member : National Stock Exchange of India Ltd. (Cash, F&O and Currency Derivative Segment)
Member : Bombay Stock Exchange Ltd. (Cash, F&O and Currency Derivative Segment)
Member : Metropolitan Stock Exchange of India Ltd. (Cash, F&O and Currency Derivative Segment)
Member : Multi Commodity Exchange of India Ltd. (Commodity Derivative Segment)
SEBI Regn. No. : INZ000104331, Dated 24-01-2022
TM ID : NSE-07923, BSE - 6145, MSEI - 35500, MCX - 55865

Depository Participant : CDSL
SEBI Regn. No.: IN-DP-322-2017, Dated 20-06-2022 • DP ID : 12033400

CONTACT DETAILS	
Compliance Officer Details	Mr. Vipin Kumar Sharma Ph.: +91-42700811, E-mail : compliance@acemoneyindia.com
CEO Details	Mr. Jitesh Kumar Singh Ph.: +91-42700800, E-mail : jiteshsingh@acemoneyindia.com
Registered & Correspondence Office :	907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001 Phone: +91-11-42700800, Fax :+91-11-42700800 E-mail : info@acemoneyindia.com, Website: www.acemoneyindia.com

Clearing Member Details (NSE FO & CD Segment) :
GLOBE CAPITAL MARKET LIMITED (SEBI Regn. No.: INZ000177137)
609, Ansal Bhawan, 16, Kasturba Gandhi Marg, New Delhi-110001 • Tel.: 011-30412345

Clearing Member Details (MCX Commodity Derivative Segment) :
GLOBE COMMODITIES LIMITED (SEBI Regn. No.: INZ000024939)
609, Ansal Bhawan, 16, Kasturba Gandhi Marg, New Delhi-110001 • Tel.: 011-30412345

For any grievance/dispute please contact ACEMONEY INTERMEDIARIES PVT. LTD. at the above address or email id-investorgrievance@acemoneyindia.com and Phone No. +91-11-42700800. In case not satisfied with the response, please contact the concerned exchange(s) at :

Exchange Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd.	ignse@nse.co.in	022-26598190, 18002660058
Bombay Stock Exchange Ltd.	is@bseindia.com	022-22728097
Metropolitan Stock Exchange of India Ltd.	investorcomplaints@msei.in	022-67319000
Multi Commodity Exchange of India Ltd.	grievance@mcxindia.com	022-67318888
Central Depository Services (India) Ltd.	complaints@cdslindia.com	1800-200-5533

Filing of complaints on SEBI SCORES - Easy & Quick (https://www.scores.gov.in/scores/Welcome.html)

a. Register on SCORES portal
b. Mandatory details for filing complaints on SCORES: i.e. Name, Pan, Address, Mobile Number, Email ID
c. Benefits:
   i. Effective communication ii. Speedy redressal of the grievances

ACEMONEY

ANNEXURE - 1

ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

Note : You may also download KYC form & other formats from our website www.acemoneyindia.com

ACEMONEY

CLIENTS OPTION FOR RECEIVING DOCUMENTS

EQUITY AND COMMODITY

To,

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

Dated ________________________

Dear Sir/ Madam,

I hereby opt to get the document listed below in -

☐ Electronic Form ☐ Physical Form

1. Rights and Obligations of Stock Brokers, Authorised Person, Commodity Exchange and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
2. Risk Disclosure Document for Capital Market, Derivatives & Commodity Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.
6. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us. Further I confirmed that the documents for KYC submitted by me are true and correct.

Yours faithfully,

First / Sole Holder Signature	**Second Joint Holder Signature** (only for DP account)	**Third Joint Holder Signature** (only for DP account)
1		

ACKNOWLEDGEMENT FROM CLIENT FOR RECEIPT OF PHYSICAL DOCUMENTS

EQUITY AND COMMODITY

To,

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

Dated ________________________

Dear Sir/ Madam,

I/We hereby acknowledge receipt of the following documents

1. Rights and Obligations of Stock Brokers, Authorised Person, Commodity Exchange and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
2. Risk Disclosure Document for Capital Market, Derivatives & Commodity Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.
6. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us. Further I confirmed that the documents for KYC submitted by me are true and correct.

Yours faithfully,

First / Sole Holder Signature	**Second Joint Holder Signature** (only for DP account)	**Third Joint Holder Signature** (only for DP account)
2		

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM — MANDATORY

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B Proof of Identity (POI) : - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary Requirments
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control-either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	• Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	• Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army Government Bodies	• Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	• Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • T rue copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

INSTRUCTIONS/ CHECK LIST FOR DERIVATIVES

1. Additional documents in case of trading in derivatives segments - illustrative list:
   - Copy of ITR Acknowledgement
   - Copy of Annual Accounts
   - In case of salary income - Salary Slip, Copy of Form 16
   - Net worth certificate
   - Copy of demat account holding statement.
   - Bank account statement for last 6 months
   - Any other relevant documents substantiating ownership of assets.
   - Self declaration with relevant supporting documents.

   * In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.
2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. **For Individuals**
   a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
   b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. **For Non-Individuals**
   a. Form need to be initialized by all the authorized signatories.
   b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Individual KYC Application Form

General Instructions:

1. Fields marked with '*' are mandatory fields.
2. Tick '✓ ' wherever applicable.
3. Self-Certification of documents is mandatory.
4. Please fill the form in English and in BLOCK Letters.
5. Please fill all dates in DD-MM-YYYY format.
6. Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
7. KYC number of applicant is mandatory for updation of KYC details.
8. For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
9. In case of 'Small Account type' only personal details at section number 1 and 2, photograph, signature and self-certification required.

A Clarification / Guidelines on filling 'Personal Details' section

1. **Name**: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Either **father's name or spouse's** name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

1. **Tax identification Number (TIN):** TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

C Clarification / Guidelines on filling 'Proof of Identity [PoI]' section

1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
2. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
3. In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (S).

Document Code	Description
01	Identity card with applicant's photograph issued by Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

D Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
3. In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

E Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

1. To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
2. In case of multiple correspondence / local addresses, Please fill '**Annexure A1'**

F Clarification / Guidelines on filling 'Contact details' section

1. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add '0' in the beginning of Mobile number.

G Clarification / Guidelines on filling 'Related Person details' section

1. Provide KYC number of related person if available.

H Clarification / Guidelines on filling 'Related Person details – Proof of Identity [PoI] of Related Person' section

1. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

List of two - digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U. T.	Code	State / U. T.	Code	State / U. T.	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two - digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

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CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

A) Fields marked with '*' are mandatory fields.
B) Tick (✓) wherever applicable.
C) Please fill the form in English and in BLOCK letters.
D) Please fill the date in DD-MM-YYYY format.
E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.
F) Please read section wise detailed guidelines / instructions at the end.
G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
H) List of two character ISO 3166 country codes is available at the end.
I) KYC number of applicant is mandatory for update application.
J) The 'OTP based E-KYC' check box is to be checked to accounts opened using OTP based E-KYC in non-face to face mode.

CERSAI

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update
KYC Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ *(Mandatory for KYC update request)*
Account Type* ☐ Normal ☐ Minor ☐ Aadhaar OTP based E-KYC (in non-face to face mode)

☐ 1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D — M M — Y Y Y Y

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

PAN* ☐☐☐☐☐☐☐☐☐☐ ☐ Form 60 furnished

Citizenship* ☐ IN- Indian ☐ Others (ISO 3166 Country Code ☐☐)

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service (☐ Private Sector ☐ Public Sector ☐ Government Sector)
☐ O-Others (☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student)
☐ B-Business ☐ Agriculturist ☐ X- Not Categorised ☐ Forex Dealer
☐ Others (Pl. Specify)________________

PHOTO

Sign Across the photograph

☐ 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

Is entity a tax resident of any country other then India ☐ Yes ☐ No
If yes, please provide country/ies in which the entity is a resident for tax purpose & the associated tax id no. below.

ISO 3166 Country Code of Jurisdiction of Residence* ☐☐
Tax Identification Number or equivalent (If issued by jurisdiction)* ☐☐☐☐☐☐☐☐☐☐☐☐☐☐
Place / City of Birth* ☐☐☐☐☐☐☐☐☐☐☐☐ ISO 3166 Country Code of Birth* ☐☐

☐ 3. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A- Passport Number
☐ B- Voter ID Card
☐ C- Driving Licence
☐ D- NREGA Job Card
☐ E- National Population Register Letter
☐ F- Proof of possession of Aadhaar

II. ☐ E- KYC Authentication

III. ☐ Offline verification of Aadhaar

Address

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

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4. CURRENT ADDRESS DETAILS (Please refer instruction **B** at the end)

☐ Same as above mentioned address (in such cases address details as below need not to be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
- ☐ B- Voter ID Card
- ☐ C- Driving Licence
- ☐ D- NREGA Job Card
- ☐ E- National Population Register Letter
- ☐ F- Proof of possession of Aadhaar

II. ☐ E- KYC Authentication

III. ☐ Offline verification of Aadhaar

IV. ☐ Deemed Proof of Address - Document type Code

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent to Mobile number / Email-ID provided) (Please refer instruction **C** at the end)

Tel. (Off) – Tel. (Res) – Mobile –

Email ID

6. REMARKS (If any)

7. APPLICANT DECLARATION

- I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from KRA/CERSAI (CKYC) through SMS/Email on the above registered number/Email address.
- I am also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I hereby consent to sharing my masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

❸ ✓

Signature/ Thumb Impression of Applicant

Date : D D – M M – Y Y Y Y Place :

8. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline Verification ☐ Digital KYC Process ☐ Equivalent e-document ☐ Video Based KYC ☐ Digilocker Verified

KYC VERIFICATION CARRIED OUT BY

Date D D M M Y Y Y Y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Name ACEMONEY INTERMEDIARIES PVT. LTD.

Code

[Institution Stamp]

ACEMONEY

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Legal Entity / Other than Individuals

Important Instructions:

A) Fields marked with '*' are mandatory fields.
B) Tick '✓' wherever applicable.
C) Please fill the date in DD-MM-YYYY format.
D) Please fill the form in English and in BLOCK letters.
E) KYC number of applicant is mandatory for update application.
F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
G) List of two character ISO 3166 country codes is available at the end.
H) Please read section wise detailed guidelines / instructions at the end.
I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

CERSAI

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update

KYC Number ____________ *(Mandatory for KYC update request)*

☐ 1. ENTITY DETAILS* (Please refer instruction A at the end)

☐ Name* ____________

Entity Constitution Type* ☐ Others (Specify) ____________ (Please refer instruction B a the end)

Date of Incorporation / Formation* D D – M M – Y Y Y Y

Date of Commencement of Business D D – M M – Y Y Y Y

Place of Incorporation / Formation* ____________

Country of Incorporation / Formation* ____

TIN or Equivalent Issuing Country ____

PAN* ____________ ☐ Form 60 furnished

TIN / GST Registration Number ____________

☐ 2. PROOF OF IDENTITY (PoI)* (Please refer instruction B at the end)

☐ Officially void document(s) in respect of person authorised to transact

☐ Certificate of Incorporation / Formation ____________

☐ Registration Certificate Regn. Certificate No. ____________

☐ Memorandum and Articles of Association

☐ Partnership Deed

☐ Trust Deed

☐ Resolution of Board / Managing Committee

☐ Power or attorney granted to its manager, officers or employees to transact on its behalf

☐ Activity Proof - 1 (For Sole Proprietorship Only)

☐ Activity Proof - 2 (For Sole Proprietorship Only)

☐ 3. ADDRESS* (Please refer instruction C at the end)

3.2 Local Address in India (If different from Above)*

Proof of Address* ☐ Certificate of Incorporation / Formation ☐ Registration Certificate ☐ Other Document ____________

Line 1* ____________

Line 2 ____________

Line 3 ____________ City / Town / Village* ____________

District ____________ Pin / Post Code* ____________ State / U.T. Code* ____ ISO 3166 Country Code* ____

3.1 Registered Office Address / Place of Business*

Line 1* ____________

Line 2 ____________

Line 3 ____________ City / Town / Village* ____________

District ____________ Pin / Post Code* ____________ State / U.T. Code* ____ ISO 3166 Country Code* ____

☐ 4. CONTACT DETAILS (All communication will be sent to Mobile number / E-mail ID provided may be used) (Please refer instruction D at the end)

Tel. (Off) ____ – ____________

FAX ____ – ____________

Mobile ____ – ____________

Email ID ____________

Mobile ____ – ____________

Email ID ____________

☐ 5. NUMBER OF RELATED PERSONS ____ (Please refer instruction E at the end)

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☐ 6 REMARKS (If any)

7. APPLICANT DECLARATION (Please refer Instruction G at the end)

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : D D – M M – Y Y Y Y   Place :

4 [Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

8. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copy ☐ Equivalent e-document

Date D D M M Y Y Y Y Place

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

Name ACEMONEY INTERMEDIARIES PVT. LTD.

Code

[Institution Stamp]

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Details of Promoters / Partners / Karta / Trustees and Whole Time Directors
forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Sr. No.	Name	Relationship with Applicant (i.e. promoters, whole time directors etc.)	PAN	Whether Politically Exposed	Residential / Registered Address	DIN of whole time directors / Aadhaar number of Promoters / Partners / Karta	Photograph
1.				☐ PEP ☐ RPEP ☐ NO		DIN : UID :	
2.				☐ PEP ☐ RPEP ☐ NO		DIN : UID :	
3.				☐ PEP ☐ RPEP ☐ NO		DIN : UID :	
4.				☐ PEP ☐ RPEP ☐ NO		DIN : UID :	
5.				☐ PEP ☐ RPEP ☐ NO		DIN : UID :	

PEP: Politically Exposed Person **RPEP:** Related to Politically Exposed Person

✓

Name & Signature of the Authorised Signatory(ies)

Date : ☐☐ ☐☐ ☐☐☐☐

ACEMONEY

MANDATORY DOCUMENT

FATCA & CRS Declaration - Individual

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

Name	
Place of Birth	
Country of Birth	
Nationality	
Constitute	☐ Individual ☐ NRI ☐ Foreign National ☐ Others (Pl. Specify) ______________________

Are you a tax resident of any country other than India - ☐ Yes ☐ No

If Yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

S.No.	Country#	Tax Identification Number%	Identification Type *(TIN or other, please specify)*
1.			
2.			
3.			

To also include USA, where the individual is a citizen / green card holder of the USA

% In case Tax Identification Number is not available, kindly provide its functional equivalent $

CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

FATCA & CRS - TERMS & CONDITIONS

Details under FATCA & CRS : The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account of any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.

It is important that you respond to our request, even if you believe you have already supplied any previously requested information.

Date :

Place :

5 ✓

Signature

MANDATORY DOCUMENT

ACEMONEY

Acemoney Intermediaries Private Limited

FATCA & CRS Declaration - Non Individual

PAN | | Trading Code | | DP Code |

Name |

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number%	Identification Type (TIN or Other%, please specify)
1.			
2.			
3.			

In case Tax Identification Number is not available, kindly provide its functional equivalent.
In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a,
Financial institution (Refer 1 of Part C) ☐
or
Direct reporting NFE (Refer 3(vii) of Part C) ☐
(please tick as appropriate)

GIIN ____________

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity ____________

GIIN not available (please tick as applicable)

☐ **Applied for** ☐ Not obtained – Non-participating FI

☐ Not required to apply for - please specify 2 digits sub-category ☐ (Refer 1 A of Part C)

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1.	Is the Entity a publicly traded company *(that is, a company whose shares are regularly traded on an established securities market)* (Refer 2a of Part C)	Yes ☐ *(If yes, please specify any one stock exchange on which the stock is regularly traded)* Name of stock exchange ____________
2.	Is the Entity a related entity of a publicly traded company *(a company whose shares are regularly traded on an established securities market)* (Refer 2b of Part C)	Yes ☐ *(If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)* Name of listed company ____________ Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company Name of stock exchange ____________
3.	Is the Entity an active NFE (Refer 2c of Part C)	Yes ☐ Nature of Business ____________ Please specify the sub-category of Active NFE ☐ (Mention code – refer 2c of Part C)
4.	Is the Entity a passiveNFE (Refer 3(ii) of Part C)	Yes ☐ Nature of Business ____________

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company

☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust

☐ Others (please specify____________)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). *(Please attach additional sheets if necessary)*

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

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MANDATORY DOCUMENT

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip State: Country:	Zip State: Country:	Zip State: Country:
Address Type	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	☐ Service ☐ Business ☐ Others ____	☐ Service ☐ Business ☐ Others ____	☐ Service ☐ Business ☐ Others ____
Nationality			
Father's Name			
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) $			

* To include US, where controlling person is a US citizen or green card holder

If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

% In case Tax Identification Number is not available, kindly provide functional equivalent

$ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Acemoney Intermediaries Pvt. Ltd.for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	
Designation	

Client Signature

Date : D D M M Y Y Y Y

Place :

For Investor convenience, Acemoney Intermediaries Pvt. Ltd. collecting this mandatory information for updating across all Group Companies of Acemoney Intermediaries Pvt. Ltd. whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest Acemoney Intermediaries Pvt. Ltd. branch or you can dispatch the hard copy to-

Acemoney Intermediaries Pvt. Ltd.
907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

• For Detail Terms & Conditions please visit www.acemoneyindia.com

MANDATORY DOCUMENT

ACEMONEY

ANNEXURE - 3

TRADING ACCOUNT RELATED DETAILS FOR INDIVIDUAL & NON-INDIVIDUAL

A. BANK ACCOUNT(S) DETAILS (Through which transactions shall generally be routed)

Bank Name	Branch Address	Account Number	Account Type	MICR Number	IFSC Code
			☐ Saving ☐ Current ☐ Others - in case of NRI / NRE / NRO		

B. DEPOSITORY ACCOUNT(S) DETAILS (Through which transactions shall generally be routed)

Depository Participant Name	Name of Depository	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
	☐ NSDL ☐ CDSL			
	☐ NSDL ☐ CDSL			
	☐ NSDL ☐ CDSL			

C. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	**NSE, BSE & MSEI**			**MCX**	
All Segments	**Cash**	**F&O**	**Currency**	**Commodity Derivatives**	
					Date of Consent of Trading on concerned Exchange
	7a ✓	7b ✓	7c ✓	7d ✓	

If you do not wish to trade in any of segments / Mutual Fund, please mention here ______________________________

D. OTHER DETAILS

☐ Gross Annual Income Details : (please specify) ☐ Below ₹ 1 Lac ☐ ₹ 1 Lac to 5 Lac ☐ ₹ 5 Lac to 10 Lac ☐ ₹ 10 Lac to 25 Lac ☐ ₹ 25 Lac to 1 Crore ☐ > ₹ 1 Crore

☐ Net Worth (Net worth should not be older than 1 year) Amount Rs...
as on (date) D D M M Y Y Y Y (Compulsory for Non-Individuals)

☐ Occupation (please tick any one and give brief details) : ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others__________Please Specify

☐ Please tick, if applicable (Note : In case of Non-individuals please tick, if applicable for any of your authorised signatories/ Promoters/Partners/Karta/Trustees/whole time directors) :
- ☐ Politically Exposed Person (PEP)
- ☐ Related to Politically Exposed Person (RPEP)
- ☐ Not a Politically Exposed Person (PEP)
- ☐ Not Related to Politically Exposed Person (RPEP)

☐ Any other information : ....

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MANDATORY DOCUMENT

E. DEALING THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

If client is dealing through the Authorised Person, provide the following details :

Name of the Authorised Person :..

Authorised Person SEBI Regn. No. :..

Authorised Person's Address :..

Authorised Person's Phone/Fax No. :..

Authorised Person's Website :..

Whether dealing with any other stock broker / Authorised Person (In case dealing with multiple stock brokers / Authorised Person, please provide details of all)

Name of the stock broker :..

Name of Authorised Person (If any) :..

Client Code (UCC) :..

Exchange :..

Details of disputes / dues pending from / to such stock broker / Authorised Person (Please Specify)

F. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years :

....

....

G. ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) :

....

Specify your Email id, if applicable : ....

Whether you wish to avail of the facility of internet trading/ wireless technology (please specify) :

....

Number of years of Investment/Trading Experience : ☐ 0 ☐ 1-3 ☐ 3-5 ☐ 5-10 ☐ >10

H. GST DETAILS (As applicable, Statewise)

Legal Name			
Trade Name			
GSTIN		Registration Date	
Name of the State		State Code	
Other State GSTIN		Registration Date	
Name of the State		State Code	

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I. CATEGORIZATION IN COMMODITIES DERIVATIVES SEGMENTS

Categories	Product Types				
☐ Farmer / FPOs	☐ Bullions	☐ Base Metal	☐ Energy	☐ Agri Commodities	☐ All
☐ Value Chain Participants (VCPs)	☐ Bullions	☐ Base Metal	☐ Energy	☐ Agri Commodities	☐ All
☐ Foreign Participant	☐ Bullions	☐ Base Metal	☐ Energy	☐ Agri Commodities	☐ All
☐ Domestic Financial Institutional Investor	☐ Bullions	☐ Base Metal	☐ Energy	☐ Agri Commodities	☐ All
☐ Proprietory Traders	☐ Bullions	☐ Base Metal	☐ Energy	☐ Agri Commodities	☐ All
☐ Other (Specify	☐	☐	☐	☐	☐

J. INTRODUCER DETAILS

Name of the Introducer :..

(Surname) (Name) (Middle Name)

Status of the Introducer : ☐ Sub Broker ☐ Remisier ☐ Auth. Person ☐ Existing Client ☐ Others________

Address and Ph. No. of the Introducer :..

....Sign. of the Introducer...

Sub-broker's Name : ....

SEBI Registration number : ....

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website www.acemoneyindia.com

Place _______________

Date _______________

8 ✓

____

Signature of Client

FOR OFFICE USE ONLY UCC Code allotted to the Client | | | | | | | | | | |

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date	- -	- -	- -
Signature			

ACEMONEY

MANDATORY DOCUMENT

DISCLOSURE OF PROPRIETARY TRADING BY AIPL

Dear Client,

In term of SEBI circular No. SEBI/MRD/SEC/Cir-42/2003 dated 19th November, 2003, member is required to disclose to its client about the proprietary trades.

In view of this circular, we wish to inform you that, we do proprietary trades in the Cash, F&O and Currency Derivatives Segment of NSE, BSE & MSEI and Commodity Derivative Segment of MCX.

Kindly take note of the above & oblige.

9 ✓____________________

Sole / First Applicant Signature

Date : ____________________

PMLA - DECLARATION

I__________________________having the trading code no.____________with AIPL confirm and declare that I have read and understood the contents and the provisions of the PMLA Act, 2002 and it was also explained by AIPL official. I further declare that I shall adhere to the rules and regulations and requirements mentioned in the PMLA Act, 2002.

10 ✓____________________

Sole / First Applicant Signature

Risk Category : ☐ Low ☐ Medium ☐ High

BROKERAGE STRUCTURE

EQUITY SEGMENT			
Brokerage Slab		Slab in %	Minimum per Share
Delivery Based	☐ Each Side ☐ Single Side		
Square Off	☐ Each Side ☐ Single Side		

F & O SEGMENT			
Brokerage Slab		Slab in %	Minimum per Share / Lot
Future	☐ Each Side ☐ Single Side		
Options	☐ Each Side ☐ Single Side		

CURRENCY DERIVATIVES SEGMENT			
Brokerage Slab		Slab in %	Minimum Brokerage per Lot
Future	☐ Each Side ☐ Single Side		
Options	☐ Each Side ☐ Single Side		

COMMODITY DERIVATIVES SEGMENT				
	FUTURES		**OPTIONS**	
	Percentage	**Min. Paisa / Per Lot**	**Percentage**	**Min. Paisa / Per Lot**
Square off same day				
Carry Forward				
Delivery				

Note:

1. Transaction & Clearing Charges, Stamp duty, GST, SEBI Fee, STT, CTT, and all legal levies as may applicable from time to time shall be charged separately in addition to the brokerage.
2. Late payment penalty @18% p.a. calculated on daily overdue balance shall be charged till actual realisation.
3. In case an internet trading terminal is provided, connectivity charges @Rs.__________/- per month or_______% of turn over shall be charged separately.
4. Charges/ service standards are subject to revision at sole discretion of ACEMONEY INTERMEDIARIES PVT. LTD.
5. Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
6. Minimum processing fees of Rs. 20/- will be charged for each day of trade.

11 ✓

Signature of Client

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ELECTRONIC CONTRACT NOTE (ECN) DECLARATION

Appendix-A

To,

ACEMONEY INTERMEDIARIES PVT. LTD.

Member : NSE, BSE, MSEI & MCX

907, 9th Floor, Kailash Building, 26 KG Marg
New Delhi-110001

Date ________________

Dear Sir,

I/We ______________________________ a client with member M/S. **ACEMONEY INTERMEDIARIES PVT. LTD.** of **NSE, BSE, MSEI & MCX** Exchange undertakes as follows:

- I/We am/are aware that the member has to provide physical contract note in respect of all the trades placed by me/us unless I/We myself want the same in the electronic form.
- I/We am/are aware that the member has to provide electronic contract note for my/our convenience on my/our request only.
- Though the member is required to deliver physical contract note, I/We find that it is inconvenient for me/us to receive physical contract notes. Therefore , I/We am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ ordered by me/us.
- I/We have access to a computer and am/are a regular internet user, having sufficient knowledge of handling the email operation.
- My/our email id is* ____. This has been created by me/us and not by someone else.
- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me/us.
- I/We am/are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email id must be written in own handwriting of the client)

12 ✓ ____________________________
Client Signature

Client Name: ____

Unique Client Code : ________________________________

PAN: ____

Address : ____

ACEMONEY

VOLUNTARY DOCUMENT

RUNNING ACCOUNT AUTHORISATION

To,

Date : ________________

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg
New Delhi-110001

Sub : Running Account Authorisation

I/We are dealing through you as a client in Capital Market / Future & Option Segment / Currency Segment / Commodity Derivative Segment in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you otherwise.

2. I/We request you to settlement of my fund

   ☐ Once in every 90 days ☐ Once in every 30 days

   or such other higher period as allowed by SEBI / Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.

3. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite funds towards such obligations and may also retain the fund expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchange.

4. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 30 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

This Running account authorization provided by me shall continue and remain valid until it is revoked by me by giving a notice in writing.

13 ✓ ____________________

ACEMONEY

LETTER OF AUTHORITY

To,

Date : ________________

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

Sub : Letter of Authority

I/We am/are dealing in shares/securities/commodities with you in various exchange segments and in order to facilitate ease of operations. We authorize you as under :

1. **Delivery of order/trade confirmation/cancellation :**

   I/We hereby authorize you not to provide me / us order confirmation / Modification / Cancellation Slips and Trade Confirmation slips to avoid unnecessary paper work. I/we shall get the required details from contract notes and confirmation issued by you.

2. **Telephonic Conversation :**

   I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.

3. **Set off of outstanding:**

   I/We authorize you to set off outstanding in any of my / our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange and / or against the value of cash margin or collateral shares provided to you by me / us. I further authorise you to debit the financial charges @ 18% per annum of the outstanding debit balance, if any, in my account and not settled as per the exchange requirement.

4. **Pledge Authorisation :**

   I/We hereby authorise you to pledge/deposit my/our securities whether deposited as collateral/margin or permitted by us to be retained in the running account etc. by you with any person(s)including but not limited to anyone or more bank(s) and/or stock exchange(s) and/or clearing corporation(s) /clearing house(s) or any other person. You may at your absolute discretion raise funds, obtain bank guarantee(s) and FDRs etc, against such deposit' pledge. I/ we authorise you to do in my/our name and on my/our behalf all such acts, deeds and things as may be necessary and expedient for placing pledging / depositing my/our securities with a person of your choice. If for any reason the pledgee sells 1liquidates these securities Uwe shall not dispute or contest the acts of pledgee in any manner what so ever. Please treat this authorization as written ratification of my /our verbal directions/authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.

5. **Charges & Balance Maintenance :**

   I/We have a Trading As well as depository relationship with ACEMONEY INTERMEDIARIES PVT. LTD. Please debit the charges relevant with depository services from my/our trading account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading account / pay adequate advance fee for the said reason.

6. **Authority for intimation**

   I/ we shall authorise you to send SMS and email to registered email/ mobile no. in respect of my Trading and Demat account.

For and On Behalf of Constituent

Thanking you,

Yours faithfully

14 ✓ ____________________

VOLUNTARY DOCUMENT

REQUEST FOR TRADING IN COMMODITY FORWARD CONTRACTS / COMMODITY DERIVATIVES ON MCX

To,

Date : ________________

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

Dear Sir,

Subject : My / Our request for trading in commodity forward contracts / commodity derivatives on MCX as your client

I/We, the undersigned, have taken cognizance of circular no. MCX/338/2006 dated August 21, 2006 issued by the Multi Commodity Exchange of India Ltd. (MCX) on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that we will not exceed the position limits as may be prescribed from time to time by MCX or Forward Markets Commission and such position limites will be calculated in accordance with the contents of above stated circular of MCX as modified from time to time.

I/We undertake to inform you and keep you informed if any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUF's/Trusts in which I or any of above such person is a partner/ director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on MCX through you or through any other member(s) of MCX to enable you to restrict our position limit as prescribed by the above referred circular of MCX as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on MCX only on the basis of our above assurances and undertaking.

Yours faithfully

15 ______________________

Sole Proprietor/Partner/Director/Karta/Trustee

CDSL

ACEMONEY

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT - INDIVIDUAL & NON-INDIVIDUAL

(To be filled by the Depository Participant)

Application No.												Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																				
DP ID	1	2	0	3	3	4	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/our name as per following details :

HOLDERS DETAILS

Sole/First Holder's Name		PAN	
		UID	
UCC		Exchange	National Stock Exchange of India Ltd. (07923) Bombay Stock Exchange Ltd. (6165) Metropolitan Stock Exchange of India Ltd. (35500)
Second Holder's Name		PAN	
		UID	
Third Holder's Name		PAN	
		UID	
Search Name			

Name*____

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above :

TYPE OF ACCOUNT (Please tick whichever is applicable)

☐ Individual	☐ Individual Resident ☐ Individual Director ☐ Individual Director's Relative ☐ Individual HUF / AOP ☐ Individual Promoter ☐ Minor ☐ Individual Margin Trading A/c (Mantra) ☐ Others (Specify)__________
☐ NRI	☐ NRI Repatriable ☐ NRI Non-Repatriable ☐ NRI Repatriable Promoter ☐ NRI Non-Repatriable Promoter ☐ NRI - Depository Receipts ☐ Others (Specify)__________
☐ Foreign National	☐ Foreign National ☐ Foreign National-Depository Receipts ☐ Others (Specify)__________

Status	Sub-Status (To be filled by the DP)
☐ Body Corporate ☐ Banks ☐ Trust ☐ Mutual Fund ☐ OCB ☐ FII ☐ CM ☐ FI ☐ Clearing House ☐ Other (Specify)__________	

SEBI Registration No. (if applicable)		SEBI Registration Date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (if applicable)		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
ROC Registration No. (if applicable)		ROC Registration Date	D	D	M	M	Y	Y	Y	Y
Nationality	☐ Indian ☐ Others (specify)__________									

ACEMONEY

OTHER DETAILS

Gross Annual Income Details: (please specify) ☐ Below ₹ 1 Lac ☐ ₹ 1 Lac to 5 Lac ☐ ₹ 5 Lac to 10 Lac ☐ ₹ 10 Lac to 25 Lac ☐ ₹ 25 Lac to 1 Crore ☐ > ₹ 1 Crore

Net Worth (Net worth should not be older than 1 year) Amount Rs...

as on (date) D D M M Y Y Y Y

Occupation (please tick any one and give brief details) : ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others________Please Specify

Please tick, if applicable.

☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) (Please provide details as per annexure 2.2A)

Any other information : ....

DETAILS OF GUARDIAN (in case the account holder is minor)

Guardian's Name	PAN
Relationship with the applicant	
I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes')	[Automatic Credit] Yes ☐ No ☐
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')	Yes ☐ No ☐
Account Statement Requirement	☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email Id________________________	Yes ☐ No ☐
I/We would like to share the email ID with the RTA	Yes ☐ No ☐
I/We would like to receive the Annual Report (Tick the applicable box. If not market the default option would be in Physical)	☐ Physical ☐ Electronic ☐ Both Physical & Electronic
I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	Yes ☐ No ☐

☐ **I wish to opt BSDA Account.** ☐ **I do not wish to opt BSDA Account**

CAS Mode : ☐ **Physical CAS Required** ☐ **CAS not Required**

BANK DETAILS (Dividend Bank Details)

Bank Code (9 digit MICR Code)	
IFS Code (11 Character)	
Account Number	
Account Type	☐ Saving ☐ Current ☐ Others (specify)________________________
Bank Name	
Branch Name	
Bank Branch Address	

City		State		Country		PIN	

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque books is issued, (or)

(ii) Photocopy of the Bank Statement having name and address of the BO.

(iii) Photocopy of the Passbook having name and address of the BO, (or)

(iv) Letter from the Bank.

➢ In case of option (ii), (iii) and (iv) above, MICR Code of the branch should be present/mentioned on the document.

SMS Alert Facility Refer to Terms & Conditions given as **Annexure 2.4**	MOBILE No.: +91-... [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).	☐ Yes ☐ No
Easi	To register for ***easi***, please visit our website www.cdslindia.com. ***Easi*** allows a BO to view his ISIN balances, transactions and value of the portfolio online.	

☐ **I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening**

☐ **I/We do not wish to receive the DIS booklet with account opening. However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.**

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)

☐ Jointly ☐ Anyone of the Holder or Survivor

Consent for Communication to be received by first Account Holder : (Tick the applicable box. If not marked the default option would be **first holder**.

☐ First Holder	Email Id :
☐ All Joint Account Holder	Email Id :

CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID		Trading Member ID	

DECLARATION

I/We have received and read the Rights and Obligations document of BO-DP (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

SIGNATURE'S OF HOLDER / DIRECTOR / AUTHORISED SIGNATORIES (Enclosed a Board Resolution for Authorised Signatories)

Holder	Name	Designation	Signature(s)
Sole / First Holder / All Joint Account Holder / Authorised Signatory			16
Other Holders			
Second Holder			
Third Holder			

(Signatures should be preferably in blue ink) **(In case of more authorised signatories, please add annexure)**

ACEMONEY

NOMINATION FORM

[Annexure A to SEBI Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

DATE	D	D	M	M	Y	Y	Y	Y	UCC								
DP ID	1	2	0	3	3	4	0	0	Client ID								

☐ I/We **wish to make a nomination.**
[As per details given below]

Nomination Registration No.	Dated

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death.

Nomination can be made upto three nominees in the account			Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1.	Name of the nominee(s) Mr./Ms.)				
2.	Share of each Nominee	Equally [If not equally, please specify percentage]	%	%	%
			Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3.	Relationship with the Applicant (if any)				
4.	Address of Nominee(s) City / Place State / Country				
		PIN Code			
5.	Mobile/Telephone No. of Nominee(s)				
6.	Email ID of nominee(s)				
7.	Nominee Identification details - [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ AADHAAR ☐ Saving Bank A/c No. ☐ Proof of Identity ☐ Demat Account ID				
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :					
8.	Date of Birth {in case of minor nominee(s)}				
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}				
10.	Address of Guardian(s) City / Place State / Country				
		PIN Code			

11.	Mobile/Telephone No. of Guardian			
12.	Email ID of nominee(s)			
13.	Relationship of Guardian with nominee			
14.	Guardian Identification details - [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ AADHAAR ☐ Saving Bank A/c No. ☐ Proof of Identity ☐ Demat Account ID			

Holder	Name	Signature
Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor)		17
Second Holder		
Third Holder		

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Note :

This Nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

ACEMONEY

DECLARATION FORM FOR OPTING OUT OF NOMINATION

To,

Dated ____________________

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

UCC								
DP ID								
Client ID (Only for Demat Account)								
Sole/First Holder Name								
Second Holder Name								
Third Holder Name								

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

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First/Sole Holder Signature | Second Holder Signature | Third Holder Signature

STATEMENT OF ACCOUNT RECEIVING IN ELECTRONIC MODE

To,

ACEMONEY INTERMEDIARIES PVT. LTD.

Dated ____________________

907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

Re: Beneficial Owner(BO) Account No.________________________

I/We____[name(s) of the BO(s)] had entered into DP BO agreement with you. I/we confirm having opted to receive the statement of accounts pertaining to our BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

E-mail address :____

I/we confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery.

Yours faithfully

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First/Sole Holder Signature | Second Holder Signature | Third Holder Signature

ACEMONEY

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

(SMS Alerts will be sent by CDSL to BOs for all debits)

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off" mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorises the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorised transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorised debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorisation, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of Registration / modification (Please cancel out what is not applicable).

BOID	1	2	0	3	3	4	0	0								

(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder's Name :____________ Second Holder's Name____________ Third Holder's Name____________

Mobile Number on which message are to be sent	+91										

(Please write only ONE valid email ID on which communications; if any, is to be sent)

The mobile number is registered in the name of :____________________

Email ID :____________________

(Please write only ONE valid email ID on which communications; if any, is to be sent)

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First/Sole Holder Signature ....

Second Holder Signature ....

Third Holder Signature ....

Place :____________

Date : ____/____/20____

SCHEDULE OF CHARGES / TARIFF SHEET FOR DP SERVICES SCHEDULE A

Scheme/Fee Head Please select "✓"any one	☐ **Scheme A**	☐ **Scheme B Life Time**	☐ **Scheme C Corporate account**	☑ **Scheme D Basic Service Demat Account**
Account Maintenance Charges	Rs. 250/- + GST	Rs. 1,000/- + GST	Rs. 1,500/- + GST	Fee - If valuation is <4,00,000 Rs. 100/- If Valuation is> 4,00,000 |< 10,00,000
Account Opening Charges	Nil	Nil	Nil	Nil
DDPI	Rs. 120/-			
Dematerialisation Charges	Rs. 5/- per Certificate + Postage Charges#	Rs. 5/- per Certificate + Postage Charges#	Rs. 5/- per Certificate + Postage Charges#	Rs. 5/- per Certificate + Postage Charges#
Rematerialisation Charges	Rs. 50/- per 100 shares or part thereof or Rs.20/- Per Certificate whichever is higher	Rs. 50/- per 100 shares or part thereof or Rs.20/- Per Certificate whichever is higher	Rs. 50/- per 100 shares or part thereof or Rs.20/- Per Certificate whichever is higher	Rs. 50/- per 100 shares or part thereof or Rs.20/- Per Certificate whichever is higher
Custody Charges	Nil	Nil	Nil	Nil
Transaction Charges (Market/ Off Market)	0.03% of Market Value* of share or Minimum Rs. 28/- Per Transaction	0.03% of Market Value* of share or Minimum Rs. 28/- Per Transaction	0.03% of Market Value* of share or Minimum Rs. 28/- Per Transaction	0.07% of Market Value* of share or Minimum Rs. 75/- Per Transaction
Pledge Charges (Creation / Closure including unilateral / Invocation and confirmation of any type)	0.02% of Market Value of share or Minimum Rs. 50/- Per Transaction	0.02% of Market Value of share or Minimum Rs. 50/- Per Transaction	0.02% of Market Value of share or Minimum Rs. 50/- Per Transaction	0.02% of Market Value of share or Minimum Rs. 75/- Per Transaction
Margin Pledge Charges (Creation/ CIosure / lnvocation)	Rs.50/- Per Transaction	Rs.50/- Per Transaction	Rs.50/- Per Transaction	Rs.50/- Per Transaction
Freezing / Defreezing	Rs. 50/- Per Instance	Rs. 50/- Per Instance	Rs. 50/- Per Instance	Rs. 100/- Per Instance
Modification / addition / deletion of any type information in the account	Rs. 25/- Per Instance	Rs. 25/- Per Instance	Rs. 25/- Per Instance	Rs. 50/- Per Instance
Failed Instruction Charges	Rs. 25/- Per Instance	Rs. 25/- Per Instance	Rs. 25/- Per Instance	Rs. 25/- Per Instance
Failed Instruction Intimation - Telephonic	Free	Free	Free	Rs. 25/- Per Instance
Usage of Web Portal - Back office (if required)	Free	Free	Free	Rs. 50/- Per Quarter
DIS Issuance	Ist Booklet Free, thereafter, Rs. 100/- containing ......slip respective#	Ist Booklet Free, thereafter, Rs. 100/- containing ......slip respective#	Ist Booklet Free, thereafter, Rs. 100/- containing ......slip respective#	Ist Booklet Free, thereafter, Rs. 100/- containing . .... slip respective#
Statement request over email	Free	Free	Free	Rs. 25/- Per Instance
Statement request (Physical) – Other than Statutory	Rs. 5/- Per Page + Postage Charges#	Rs. 5/- Per Page + Postage Charges#	Rs. 5/- Per Page + Postage Charges#	Rs. 10/- Per Page + Postage Charges#
Demat Rejection Charges	Rs. 30/- Per instance + Postage Charges#	Rs. 30/- Per instance + Postage Charges#	Rs. 30/- Per instance + Postage Charges#	Rs. 30/- Per instance + Postage Charges#
DlS Issuance without requisition / lost booklet	Rs. 100/- Per Instance	Rs. 100/- Per Instance	Rs. 100/- Per Instance	Rs. I50/- Per Instance
Late Instruction Submission Charges	Rs. 25/- Per Instance	Rs. 25/- Per Instance	Rs. 25/- Per Instance	Rs. 50/- Per Instance

PLEASE NOTE :

* Market Value shall be calculated on the basis of the Price File provided by the Depositories.
* In case if share price is not appearing in the Price File provided by the Depositories, charges will be calculated on total transaction value on Face Value of the share, whichever is higher.

\# Postage Charges: Minimum Rs. I00/- if dispatched through Courier or On Actual basis if dispatched through Indian Post, whichever is higher

I/We have been explained with the criteria & services that shall be provided under BSDA. I/We have understood the T&C as mentioned in converse side and made my/our choice & ticked above.

✓

First/Sole Holder Signature | Second Holder Signature | Third Holder Signature

ACEMONEY

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

To ALL TO WHOM THESE PRESENTS SHALL COME, I/We____ (name of the demat account holder/s), here as I/We hold a Beneficial Owner Account No.**1 2 0 3 3 4 0 0**________________ with Central Depository Services (India) Limited (CDSL), through **Acemoney Intermediaries Private Limited** (**Formally Chugh Securities Pvt. Ltd.**), having its corporate office at **907, 9th Floor Kailash Building 26 K.G .Marg, New Delhi 110001** bearing CDSL DP ID 12033400 having SEBI registration number IN-DP-322-2017. AND WHEREAS I/We am/are investor engaged in buying and selling of securities through **Acemoney Intermediaries Private Limited**, a SEBI registered stock broker bearing registration no. **INZ000104331** AND member of National Stock Exchange of India Ltd. (NSE TM ID- **07923**), Bombay Stock Exchange Ltd. (BSE TM ID-**6145**), Metropolitan Stock Exchange of India Ltd. (MSEI TM ID-**35500**) and Multi Commodity Exchange (MCX TM ID-**55865**). The DP Account numbers of **Acemoney Intermediaries Private Limited** for the purpose of this Instructions/authorization are mentioned as follows;

S.No.	CDSL Demat A/c No.	CM BP-ID	Purpose
1	1203340000053051	-	TM/CM-Client Securities Margin Pledge Account (Normal)
2	1203340000000051	-	NSE Payin Account (Pool Account)
3	1100001100015844	-	NSE Early Payin Account (EPN Account)
4	1100001000020894	-	BSE Early Payin Account (EPN Account)
5	1203340000062628	-	Client Unpaid Securities Pledge Account (CUSPA)

S. No.	PURPOSE	Signature
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	22a Signature of 1st Holder / Signature of 2nd Holder / Signature of 3rd Holder
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange	22b Signature of 1st Holder / Signature of 2nd Holder / Signature of 3rd Holder
3	Mutual Fund Transactions being executed on Stock Exchange order entry platforms	22c Signature of 1st Holder / Signature of 2nd Holder / Signature of 3rd Holder
4	Tendering shares in open offers through Stock Exchange platforms	22d Signature of 1st Holder / Signature of 2nd Holder / Signature of 3rd Holder

Declaration

I/We agree to abide by and to be bound by the rules and regulations laid down by depository participant and Depositories as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, if any, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We shall be held liable for it. In case non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant". I/We, do hereby agree to ratify all lawful acts and things executed pursuant to the instructions/authorization here in above contained. I/We further agree and confirm that the powers and authorities conferred by this facility shall continue until the same is terminated/revoked//modified by me/us by giving 10 days prior notice through intimation/request at the corporate office of **Acemoney Intermediaries Private Limited**. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

Made at________________________________on this day of___________________________________

Client Code:_____________________

23

.... First/Sole Holder Signature

.... Second Holder Signature

.... Third Holder Signature

For and on behalf of
ACEMONEY INTERMEDIARIES PVT. LTD.

(Authorized Signatory)

ACEMONEY

OPTION FORM FOR ISSUE OF DIS BOOKLET

DP ID	1	2	0	3	3	4	0	0	Client ID								
First Holder Name																	
Second Holder Name																	
Third Holder Name																	

To,

ACEMONEY INTERMEDIARIES PVT. LTD.
907, 9th Floor, Kailash Building, 26 KG Marg
New Delhi-110001

Date	D	D	M	M	Y	Y	Y	Y

Dear Sir / Madam,

I / We hereby state that : [Select one of the options given below]

☐ **OPTION 1 :**

I / We request you to issue Delivery Instruction Slip (DIS) booklet to me / us on opening my / our CDSL account through I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with ______________________________ (name of attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

OR

☐ **OPTION 2 :**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with ______________________________ (name of attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later day.

Yours faithfully

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

DECLARATION FOR COMMON MOBILE NUMBER AND EMAIL ID FOR DEMAT AND TRADING ACCOUNT

To,

Date : ________________

ACEMONEY INTERMEDIARIES PVT. LTD.
907, 9th Floor, Kailash Building, 26 KG Marg
New Delhi-110001

Subject : Common Mobile Number/Email Id for Demat and Trading Accounts

Dear Sir,

Mr./Mrs.________________________would like to confirm that the Mobile Number__________________ E-mail Id____is registered in my name. I am enclosing self attested copy of latest paid bill of your information and record. I am your registered client having trading code_ as, and demat account.

Upon understanding from you that my mobile number/emailed if registered with some of the clients who have trading and/or demat accounts with you. I have to state that this is in my full knowledge and I have consented to the below clients whi is/are my relatives/ to state my mobile number/email id in their KYC's to which I have no objection. All the transactions in their accounts are not only done as per their respective instructions bu they are well conveyed by me of all the SMS/Email belonging to them which are sent by you to me on my above mobile number/email id.

All these below clients also do not have any objection to the same, in consent they have also signed :

S.No.	Client Name	Trading Code	Demat A/c	Relation	Signature

However, in case of any further regulatory requirements, I under take to abide upon hearing from you.

Thanking You,

Name :______________________________

Address :_____________________________

____

25 ______________________
(Client Signature)

ACEMONEY

DECLARATION BY HUF

To,

Date : ____________________

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg
New Delhi-110001

DP ID	1	2	0	3	3	4	0	0	Client ID								

As our HUF firm wishes to open an account with your DP in the said name ____
we beg to say that the first signatory to this letter, Mr ____ is the Karta of the Joint Family and other signatories are the adult co-parceners of the said family.

We further confirm that the business of the said joint family is carried on mainly by the said Karta as also by the other signatories hereto in the interest and for the benefit of the entire body of co-parceners of the joint family. We all undertake that claims due to the DP from the said family shall be recoverable personally from all or any of us and also for the entire family properties of which the first signatory is the Karta, including the share of minor co-parceners.

In view of the fact that ours is not a firm governed by the Indian Partnership Act of 1952, we have not got our said firm registered under the said Act.

We hereby undertake to inform the DP of the death or birth of a co-parcener of any change occurring at any time in the membership of our joint family during the currency of the account.

Your's Sincerely

Name & signature of Karta

Name & Signature of Adult Co-parceners and date of birth of Minor Co-parceners
(Use Annexure for Additional Members)

S.No.	Name	Sex	Relation with Karta	Date of Birth	Signature
1.					ⓧ ____________________
2.					ⓧ ____________________
3.					ⓧ ____________________
4.					ⓧ ____________________

FORMAT OF BOARD RESOLUTION TO BE GIVEN BY CORPORATE CLIENT (TO BE PRINTED ON LETTER HEAD OF COMPANY)

CERTIFIED TRUE COPY OF EXTRACTS OF THE MINUTES OF THE MEETING OF THE BOARD OF DIRECTORS OF ________________________________(Co. Name) **CONVENED ON THE**__________________(date) **/ TIME AT**_________________

____ (Venue)

"**RESOLVED THAT** an account for the purpose of trading in Securities be opened with M/s. **ACEMONEY INTERMEDIARIES PVT. LTD.**, Member - National Stock Exchange of India Ltd. / Bombay Stock Exchange Ltd./Metropolitan Stock Exchange of India Ltd./Multi Commodity Exchange of India Ltd., hereinafter refered to as the Broker, for undertaking sale & purchase of Securities on the said exchange(s).

FURTHER RESOLVED THAT Shri______________________________and Shri___________________________, Directors of the Company, be and are hereby, authorised severally to do all such acts, deeds, things and sign all documents papers, authorisations, agreements etc. as may be necessary for opening and operating the said account with Broker.

FURTHER RESOLVED THAT the aforementioned Directors, be and are hereby, authorised severally, to give instructions on behalf of the Company. for conducting trading in securities for and on behalf of the Company.

FURTHER RESOLVED THAT, a copy of this resolution be forwarded to the Broker by Shri_________________________ or Shri____Directors, & the Broker, be instructed to honour the instructions of Shri ______________________________________and / or Shri________________________________, Directors of the Company to carry on trading in Securities.

Certified true copy

For (Name of the Company)

Dated :

Director

Place :

FORMAT OF DECLARATION TO BE GIVEN BY PARTNERSHIP FIRM ON LETTER HEAD OF THE FIRM

To,

ACEMONEY INTERMEDIARIES PVT. LTD.

907, 9th Floor, Kailash Building, 26 KG Marg, New Delhi-110001

Date________________

Dear Sir,

We refer to the trading account being opened / opened with you in the name of________________________and declare and authorise you as under.

We recognize that a beneficiary account cannot be opened with a depository participant in the name of a partnership firm as per applicable law. To facilitate the operation of the above trading account with you and for the purpose of completing the Securities transfer obligations pursuant to the trading operations, we authorise you to recognize the beneficiary account No.___________________________with depository______________________________opened as a joint account in the names of the partnership of the firm.

We agree that the obligations for Securities purchased and/or sold by the firm will be handled and completed through transfer to/from the above mentioned account. We recognize and accept transfers made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above Securities trading account of the firm.

We hereby authorize________________________________, partner in the firm to execute/sign and submit such documents, agreements, deeds etc. as may be necessary to enter into the agreement and engage in business with **ACEMONEY INTERMEDIARIES PVT. LTD.**, and to place order for buying and selling of Securities, sell, purchase, transfer, endorse, negotiate and do other things that may be necessary to engage in business on behalf of the partnership and to sign the authority letter for adjustment of balances in family accounts.

Name of Partners (in block letters)	Signature

ACEMONEY

FOR OFFICE USE ONLY

Branch Code : Sub Branch :	Group Code : Intro Code :

Tele Verification :

CKYC Reference No.:	KYC Reference No.:

1.	CHECKING DETAILS	REMARKS
a)	Name as it appears on the ID & Address Proof (in capital letter)	
b)	Signature of Client on all pages and wherever necessary (Witness wherever required) ☐	
c)	Signature Checked and Verified.	
d)	Photograph (duly signed) ☐	
e)	A copy of PAN Card (Self Attested) ☐	
f)	Address Proof (Self Attested) ☐	
g)	Bank Proof containing Client Name (Self Attested) ☐	
h)	Demat Account Proof (Self Attested) ☐	
2.	**Cross Checking done by TM________________ DP________________**	
3.	**Details Punched in Computer by TM________________ DP________________**	
4.	**UCC UPLOADED :** ☐ NSE Cash ☐ NSE F&O ☐ NSE Currency ☐ BSE Cash ☐ BSE F&O ☐ BSE Currency ☐ MSEI Cash ☐ MSEI F&O ☐ MSEI Currency ☐ MCX Commodity	
5.	**Client Instruction Book issued by**	
6.	**Client Account Status Report issued by**	
	DETAILS VERIFIED FROM UNSC LIST & SEBI DEBARRED ENTITY LIST	
	Signature	

ACEMONEY
Prosper with profit

ACEMONEY INTERMEDIARIES PRIVATE LIMITED

(Formerly known as Chugh Securities Private Limited)

Off.: 907, 9th Floor, Kailash Building, 26, KG Marg, New Delhi-110001

Phone : 011-42700800

E-mail : info@acemoneyindia.com

Web : www.acemoneyindia.com

ACKNOWLEDGEMENT

ACEMONEY INTERMEDIARIES PVT. LTD.
907, 9th Floor, Kailash Building, 26 KG Marg
New Delhi-110001

Received the application from Mr/Ms____ as the sole/first holder alongwith ____ and ____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date : | | | | | | | |

Participant Stamp & Signature